Annex to Communication

**Annex Communication FSMA\_2018\_08\_4 of 19/06/2018**

Termination of the operation of a branch or the use of a tied agent established in another Member State Article 35 of Directive 2014/65/UE

# General information

|  |  |
| --- | --- |
| Date of notification | [Date] |
| Type of notification | Termination of the operation of a branch or the use of a tied agent |
| Date from which the termination will be effective |  |
| Home Member State of the investment firm | BELGIUM |
| Authorisation status of the investment firm | Authorised by the FSMA since [Date] |
| Member State in which the branch/tied agent is established | [Host Member State] |

# Details of the investment firm

|  |  |
| --- | --- |
| Name of investment firm |  |
| Trading name |  |
| Address |  |
| Telephone number |  |
| Email |  |
| Name of the contact person responsible for the termination of the operations of the branch/tied agent |  |

# 3 Details of the branch/tied agent

|  |  |
| --- | --- |
| Name of the branch/tied agent in the territory of the host Member State |  |
| Name(s) of those responsible for the management of branch/the tied agent |  |

# 4 Description of the schedule for the planned termination

|  |
| --- |
| [to be completed by the investment firm] |

# 5 Information on the process of winding down the business operations, including details regarding the manner in which client interests are going to be protected, complaints resolved and any outstanding liabilities discharged

|  |
| --- |
| [to be completed by the investment firm] |

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