

Annex Communication FSMA_2018_08_4 of 19/06/2018

Termination of the operation of a branch or the use of a tied agent
established in another Member State Article 35 of Directive
2014/65/UE

1 General information

Date of notification	[Date]
Type of notification	Termination of the operation of a branch or the use of a tied agent
Date from which the termination will be effective	
Home Member State of the investment firm	BELGIUM
Authorisation status of the investment firm	Authorised by the FSMA since [Date]
Member State in which the branch/tied agent is established	[Host Member State]

2 Details of the investment firm

Name of investment firm	
Trading name	
Address	
Telephone number	
Email	
Name of the contact person responsible for the termination of the operations of the branch/tied agent	

3 Details of the branch/tied agent

Name of the branch/tied agent in the territory of the host Member State	
Name(s) of those responsible for the management of branch/the tied agent	

4 Description of the schedule for the planned termination

[to be completed by the investment firm]

5 Information on the process of winding down the business operations, including details regarding the manner in which client interests are going to be protected, complaints resolved and any outstanding liabilities discharged

[to be completed by the investment firm]

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