

LISTE DES ENTREPRISES D'INVESTISSEMENT RELEVANT DU DROIT D'UN ETAT NON MEMBRE DE L'ESPACE ECONOMIQUE EUROPEEN QUI ONT NOTIFIE LEUR INTENTION DE PRESTER DES SERVICES D'INVESTISSEMENT EN BELGIQUE

Article 14 de la loi du 25 octobre 2016 relative à l'accès à l'activité de prestation de services d'investissement et au statut et au contrôle des sociétés de gestion de portefeuille et de conseil en investissement

(*) Services et activités d'investissement, visés à l'article 2, 1°, de la loi du 25 octobre 2016 :

- 1. La réception et la transmission d'ordres portant sur un ou plusieurs instruments financiers, en ce compris la mise en rapport de deux ou plusieurs investisseurs permettant ainsi la réalisation, entre ces investisseurs, d'une opération ;
- 2. L'exécution d'ordres au nom de clients;
- 3. La négociation pour compte propre;
- 4. La gestion de portefeuille;
- 5. Le conseil en investissement;
- 6. La prise ferme d'instruments financiers et/ou le placement d'instruments financiers avec engagement ferme;
- 7. Le placement d'instruments financiers sans engagement ferme;
- 8. L'exploitation d'un système multilatéral de négociation (MTF);

(**) Services auxiliaires, visés à l'article 2, 2°, de la loi du 25 octobre 2016 :

- 1. La conservation et l'administration d'instruments financiers pour le compte de clients, y compris la garde et les services connexes, comme la gestion de trésorerie/de garanties;
- 2. L'octroi d'un crédit ou d'un prêt à un investisseur pour lui permettre d'effectuer une transaction sur un ou plusieurs instruments financiers, dans laquelle intervient l'entreprise qui octroie le crédit ou le prêt;
- 3. Le conseil aux entreprises en matière de structure du capital, de stratégie industrielle et de questions connexes; le conseil et les services en matière de fusions et de rachat d'entreprises;
- 4. Les services de change lorsque ces services sont liés à la fourniture de services d'investissement;
- 5. La recherche en investissements et l'analyse financière ou toute autre forme de recommandation générale concernant les transactions sur instruments financiers;
- 6. Les services liés à la prise ferme;
- 7. Ceux des services et activités d'investissement précités et services auxiliaires qui concernent le marché sous-jacent des instruments dérivés visés à l'article 2, alinéa 1er, 1°, e), f), g) et j), de la loi du 2 août 2002, lorsqu'ils sont liés à la prestation de services d'investissement ou de services auxiliaires.

Situation au 23-09-2022

	Services d'investissement (*)				Services auxiliaires (**)						
o Australie											
■ Australia and New Zealand Banking Group Ltd	1	2	3	6	7	4	5	6	7		
Docklands											
Level 9, 833 Collins Street, ANZ Centre											
Melbourne											
3008 Victoria											
■ Macquarie Bank Ltd	1	2	3	5	6	1	2	4	5	6	7
Level 6											
Martin Place 50											
NSW 2000 Sydney											
■ Macquarie Securities (Australia) Ltd	1	2		5	6	7	1		5	6	
1 Martin Place											
Level 3											
2000 Sidney, New South Wales											

■ **National Australia Bank Limited** 1 2 3 4 5 6 7 1 2 4 5 6 7
 Level 1
 Bourke Street 800
 3008 Docklands

■ **Westpac Banking Corporation** 1 2 3 4 5 6 7 1 2 3 4 6
 Kent Street 275
 NSW 2000 Sydney

o **Canada**

■ **Aligned Capital Partners Inc** 1 2 3 4 5
 suite 300
 Champlain Ave 1001
 L7L 5Z4 Burlington

■ **Bank of Montreal** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
 rue Saint-Jacques 129
 H2Y 1L6 Quebec

■ **Fiera Capital Corporation** 4
 Suite 1500
 McGill College Avenue 1981
 QC H3A 0H5 Montreal

■ **K.J. Harrison & Partners Inc** 4
 Bedford Road 60
 M5R 2K2 Toronto

■ **Royal Bank of Canada** 1 2 3 2 4 7
 Royal Bank Plaza
 Bay Street 200
 M5J 2J5 Toronto

■ **Scotia Capital Inc.** 1 2 3 6 7
 Scotia Plaza
 40 King St. West
 M5W 2X6 Toronto

■ **StonePine Asset Management Inc** 1 2 4 5 4 5
 Suite 1600
 McGill College Avenue 1981
 H3A 0H5 Quebec

■ **The Bank of Nova Scotia** 1 2 3 4 5 6 7 8 1 2 3 4 5 6 7
 Hollis Street 1709
 B3J 3B7 Halifax

■ **The Toronto-Dominion Bank** 1 2 3 5 6 7 3 4 5 6 7
 15th Floor, TD Tower
 Wellington St. West 66
 ON M5K 1A2 Toronto

o **Etats-Unis**

■ **Acadian Asset Management LLC** 2 4 5 5
 Franklin Street 260
 MA 02110 Boston

<ul style="list-style-type: none"> ■ Bank of America NA STE 170 N. Tryon Street 100, 28202 Charlotte 	1 2 3 4 6 7			
<ul style="list-style-type: none"> ■ Bloomberg Powermatch LLC 499 Park Avenue NY 10022 New York 	1			
<ul style="list-style-type: none"> ■ Bloomberg Tradebook LLC Third Avenue 919 NY 10022 New York 	1			
<ul style="list-style-type: none"> ■ BNP Paribas Asset Management USA, Inc 11th Floor Park Avenue 200 NY 10166 New York 	2 4			
<ul style="list-style-type: none"> ■ BNP Paribas Securities Corp Seventh Avenue 787 NY 8819 New York 	1 2 3			
<ul style="list-style-type: none"> ■ Brown Brothers Harriman & Co. 140 Broadway 10011 New York 	1 2 3	1	4	
<ul style="list-style-type: none"> ■ Cboe Exchange Inc South LaSalle 400 60605 Chicago 	1 2 5		4 5	
<ul style="list-style-type: none"> ■ Cboe Futures Exchange LLC S. LaSalle Street 400 IL 60605 Chicago 	1 2 5		4 5	
<ul style="list-style-type: none"> ■ Citibank N.A. Greenwich Street 388 NY 10013 New York 	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7		
<ul style="list-style-type: none"> ■ Citigroup Global Markets Inc Greenwich Street 388 NY 10013 New York 	1 2 3			
<ul style="list-style-type: none"> ■ Dynamic Credit Partners Ilc Suite 809 389 Fifth Avenue NY 10016 New York 		4 5	3 4 5 7	
<ul style="list-style-type: none"> ■ FSA Advisors Suite 800 Van Dyke Ave 30500 MI 48093 Warren 	1 2 4 5		5	
<ul style="list-style-type: none"> ■ General Re Financial Products Corporation Long Ridge Road 120 CT 06902 Stamford 	3			
<ul style="list-style-type: none"> ■ Goldman Sachs & Co West Street 200 	1 2 3 5	1 2 4		

60654 Chicago						
■ Mirova US LLC	1	2	4	5		
Boylston Street 888						
MA 02199-8197 Boston						
■ Mondrian Investment Partners Limited (clone of FCA firm)			4	5		5
Floor 10						
London Wall 60						
EC2M 5TQ UK London						
■ Morgan Stanley & C° Inc.	1	2	3	4	6	7
1585 Broadway						
NY 10036 New York						
■ Needham & Company Inc.	1	2	3		6	7
445 Park Avenue						
NY 10022-2602 New York						
■ Oaktree Capital Management, L.P.	1	2	4	5		4 5
28th floor						
South Grand Avenue 333						
CA 90071 Los Angeles						
■ Payden & Rygel				4		
333 South Grand Avenue						
CA 90071 Los Angeles						
■ PGIM Quantitative Solutions LLC	1		4	5		4
Gateway Center 2, 4th floor						
McCarter Highway & Market Street						
NJ 07102 Newark						
■ PGIM, Inc.	1	3	4	5	7	4
Broad Street 655						
NJ 07102 Newark						
■ Polaris Capital Management LLC				4		4 5
High Street 121						
MA 02110 Boston						
■ Prudential Investment Management Services LLC	1			5	7	
19th Floor						
Broad Street 655						
N.J. 07102 Newark						
■ Pzena Investment Management llc				4	5	7
320 Park Ave 8th floor						
NY 10022 New York						
■ Sanford C. Bernstein & C° Inc.	1	2	3	4	6	7
767 Fifth avenue						
NY 10153-0185 New York						
■ State Street Bank and Trust Company	1	2	3	4		1 2 4 5
Financial Center						
Lincoln Street 1						
02111 Boston						

<p>■ Access Equity Management Ltd Dorset Street 43-45 W1U 7NA London</p>	1 2 3 5	3
<p>■ ADM Investment Services International Ltd Millennium Bridge House, 4th floor Lambeth Hill EC4V 3TT London</p>	1 2 3 4 5	2 4 5
<p>■ AE Global Investment Solutions Ltd Shaftesbury Centre, Unit 10 Barlby Road 85 W10 6BN London</p>	1 2 4 5	3 4 6
<p>■ Aldgate Advisors Ltd fifth floor Fenchurch Street 20 EC3M 3BY London</p>	1 5	3 4 5
<p>■ Alger Management Ltd Brook Street 78 W1K 5EF London</p>	1 2 4 5	5 7
<p>■ AllianceBernstein Ltd Berkeley Street 50 W1J 8HA London</p>	1 2 4 5	
<p>■ Allspring Global Investments (UK) Ltd Bow Bells House, 6th floor Bread Street 1 EC4M 9BE London</p>	1 2 4 5 7	4 5
<p>■ Alpha FX Ltd Brunel Building Canalside Walk 2 W2 1DG London</p>	1 2 3 5	4
<p>■ AMIUS Ltd 4th floor Drury Lane 55 WC2B 5SQ London</p>	1 2 3 5	
<p>■ Anchor International Partners Ltd St. Vincent House Orange Street 30 WC2H 7HF London</p>	5	3
<p>■ Antler Capital Partners LLP Lansdowne House Berkeley Square 57 W1J 6ER London</p>	4	
<p>■ Apollo Asset Management Europe LLP St. George Street 25 W1S 1FS London</p>	1 2 4 5	3 4 5 6 7
<p>■ Arabesque Asset Management Ltd Grosvenor Street 43</p>	1 5	5

W1K 3HL London					
■ Architas Multi-Manager Ltd			4	5	
Old Broad Street 5					
EC2N 1AD London					
■ Arcmont Asset Management Ltd		1			
3rd Floor					
Hanover Square 5					
W1S 1HE London					
■ Ardent Financial Ltd		1	2	3	
Berkeley Street 16					
W1J 8DZ London					
■ Arete Research Services LLP					5
Hardwick Street 5-8					
EC1R 4RG London					
■ Arundel Group Ltd		1	2	5	7
St James's Square 31a					3
SW1Y 4JR London					
■ Asante Capital Group LLP		1		5	
Old Burlington Street 25					
W1S 3AN London					
■ Aspect Capital Ltd			4	5	
Portman Square 10					
W1H 6AZ London					
■ Asset Management One International Ltd		1	2	4	5
Old Bailey 30					4
EC4M 7AU London					5
■ Astrid Advisors Ltd		1		5	
Park Lane 75					5
CR9 1XS Croydon					
■ Atlantic-Pacific Capital Ltd		1		5	
Jermyn Street 52					5
SW1Y6LX London					
■ Avington Financial Ltd			5	7	
Leconfield House					3
Curzon Street					5
W1J 5JA London					
■ Axa Investment Managers GS Ltd		1	2	4	5
Newgate Street 7					4
EC1A 7HD London					5
■ AXA Investment Managers UK Ltd		1		4	5
7 Newgate Street					
EC1A 7HD London					
■ Baillie Gifford Overseas Ltd		1	2	4	5
Calton Square					
Greenside Row 1					
EH1 3AN Midlothian					

<p>■ Bank of China International (UK) Ltd Lothbury 1 EC2R 7DB London</p>	1 2	5 6	5
<p>■ Bank of Scotland Plc The Mound EH1 1YZ Midlothian</p>	1 2 3	5 6 7	1 2 3 4 5 6 7
<p>■ Barclays Bank PLC Churchill Place 1 London E14 5HP</p>	2 3		
<p>■ Baring Asset Management Ltd Old Bailey 20 ECM 7BF London</p>	1 2	4 5	3 4 5 7
<p>■ BCS Prime Brokerage Ltd Bishopsgate 99 EC2M 3XD London</p>	1 2 3	5	2 4 5
<p>■ BDA Partners (London) Ltd Conduit Street 7-8 W1S 2XF London</p>	1	5	3 5
<p>■ BDT & Company International LLP St. James's Place 12-13 SW1A 1NP London</p>	1 2	5 7	3 4 5
<p>■ Belgrave Capital Management Ltd Palmer Street 21 SW1H 0AD London</p>	1	4 5	
<p>■ BellRock Securities Ltd 30th floor Bank Street 40 E14 SNR London</p>	1 2	4 5	1 4 5 7
<p>■ Bernstein Autonomous LLP Berkeley Street 50 W1J 8SB London</p>	1 2 3	5	4 5
<p>■ BGC Brokers LP Canary Wharf One Churchill Place E14 5RD London</p>	1 2 3	5	1 4 5
<p>■ BlackRock Advisors (UK) Ltd Throgmorton Avenue 12 EC2N 2DL London</p>	1 2	4 5	3 5 7
<p>■ BlackRock Investment Management (UK) Ltd Throgmorton Avenue 12, EC2N 2DL London</p>	1 2	4 5	3 5
<p>■ Blankstone Sington Ltd Walker House Exchange Flags L2 3YL Liverpool</p>	1 2	4 5	1 5

<p>■ BMO Capital Markets Ltd Queen Victoria Street 95 EC4V 4HG London</p>	1 2 3	6 7	1 2 3 4 5 6 7
<p>■ BNP PARIBAS ASSET MANAGEMENT UK Ltd Aldermanbury Square 5 EC2V 7BP London</p>	1 2	4 5	4 5 7
<p>■ Bohler & Associates Ltd Cavendish Square 17 W1G 0PH London</p>	2	5	5
<p>■ Bradesco Securities UK Ltd 25th floor Bishopsgate 110 EC2N 4AY London</p>	1 2	5	5 6
<p>■ Braemar Atlantic Securities Ltd Trafalgar Square Strand 1 WC2N 5HR London</p>	1 2		
<p>■ Brewin Dolphin Ltd Smithfield Street 12 EC1A 9LA London</p>	1 2 3 4 5		1 4 5
<p>■ Britannic Energy Trading ltd Chertsey Road GB-TW16 7BP Sunbury</p>	1 2 3 5		2 3 4 5 7
<p>■ Britannic Trading Ltd Canada Square 20 E14 5NJ London</p>	1 2 3 5		2 3 4 5 7
<p>■ British Arab Commercial Bank Plc Mansion House Place 8-10 EC4N 8BJ London</p>	1 2 3 4		1 2 4
<p>■ Brookfield Private Capital (UK) Ltd Canada Square 1 E14 5AA London</p>	1	5	3 5
<p>■ BTG Pactual (UK) Ltd Berkeley Square House 4 Berkeley Square 19 W1J 6BR London</p>	1 2	5	
<p>■ BTIG Ltd 5th Floor Bishopsgate 7 EC2N 3AR London</p>	1 2 3		5
<p>■ Bury Street Capital ltd St James's Square 33 SW1Y 4JS London</p>	1	5	
<p>■ CAMcap Markets Ltd North Audley Street 20 W1K 6LX London</p>	1 2 3	5	

<ul style="list-style-type: none"> ■ Campbell Lutyens & Co. Ltd Burlington Gardens 3 W1S 3EP London 	1	5			3	5								
<ul style="list-style-type: none"> ■ Canaccord Genuity Ltd Wood Street 88 EC2V 7QR London 	1	2	3	5	6	7	1	3	4	5	6			
<ul style="list-style-type: none"> ■ Cantor Fitzgerald Europe One Churchill Place, Canary Wharf E14 5RB London 	1	2	3	5	6	7	1	3	4	5	6	7		
<ul style="list-style-type: none"> ■ Capstone Advisors Ltd Hanover Square 14 W1S 1HN London 	1			5										
<ul style="list-style-type: none"> ■ Cargill Financial Services Europe Ltd Velocity V1 Brooklands Drive KT13 0SL Weybridge 	1	2	3	5										
<ul style="list-style-type: none"> ■ CarVal Investors GB LLP Great Pulteney Street 25 W1F 9LT London 	1			5										
<ul style="list-style-type: none"> ■ CBA Europe ltd New Ludgate Ludgate Hill 60 EC4M 7AW London 	1	2		5			1		4	5				
<ul style="list-style-type: none"> ■ Centricus Asset Management Ltd Byron House St James Street 7-9 SW1A 1EE London 	1	2		4	5	7		3		5	7			
<ul style="list-style-type: none"> ■ Cerberus European Capital Advisors LLP Savile Row 5 W1S 3PB London 	1			5										
<ul style="list-style-type: none"> ■ Chatham Financial Europe Ltd St James's Square 12 SW1Y 4LB London 	1	2		5					4	5				
<ul style="list-style-type: none"> ■ China International Capital Corporation (UK) Ltd Level 25 Old Broad Street 125 EC2N 1AR London 	1	2	3	5	6	7		3	4	5	6			
<ul style="list-style-type: none"> ■ Citigroup Global Markets ltd Canada Square 33 E14 5LB London 	1	2	3	4	5	6	7	1	2	3	4	5	6	7
<ul style="list-style-type: none"> ■ CLSA (UK) Moor House, 12th floor London Wall 120 EC2Y 5ET London 	1	2	3	5	6	7		3	4	5	6			
<ul style="list-style-type: none"> ■ Cohen & Steers UK Ltd 7th Floor 	1	2		4	5									

Pall Mall 50
SW1Y 5JH London

- **Colchester Global Investors Ltd** 1 4 5
Heathcoat House
Savile Row 20
W1S 3PR London
- **Columbus Point LLP** 1 2 4 5
Albemarle Street 4
W1S 4GA London
- **Colville Capital Partners Ltd** 1 2 4 5
Savile Row 9-10
W1S 3PF London
- **Connaught (UK) Ltd** 1 5 7 3
Yalding House
Great Portland Street 152-156
W1W 6AJ London
- **Conning Asset Management Limited** 1 2 4 5 3 5 7
Monument Street 24
EC3R 8AJ London
- **Cowen Execution Services** 1 2 3 5 7 3 4 5 6
Snowden Street 1
EC2A 2DQ London
- **Cowen International Ltd** 1 2 3 5 6 7 3 4 5 6
11th floor
Snowden Street 1
EC2A 2DQ London
- **CQS (UK)** 1 5
4th Floor
One The Strand
WC2N 5HR London
- **Credit Suisse Asset Management Ltd** 1 2 4 5 1 4 5 7
Cabot square 1
E14 4 QJ London
- **Credit Suisse International** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
One Cabot Square, Canary Wharf
E14 4QJ London
- **Crédit Suisse Securities (Europe) Limited** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
One Cabot Square, Canary Wharf
E14 4QJ London
- **Daiwa Capital Markets Europe Ltd** 1 2 3 5 6 7 1 2 3 4 5 6
King William Street 5
EC4N 7AX London
- **Daymer Bay Capital Ltd** 1
Victoria Street 83
SW1H 0HW London
- **Delfinus LLP** 1 5 3

Hill Street 3 W1J 5LB London							
■ Demica Finance	1					3	
Bow Bells House Bread Street 1 EC4M 9BE London							
■ Dimensional Fund Advisors Ltd.	1 2	4 5				4 5	
Triton Street 20 NW1 3BF London							
■ DWS Investments UK	1 2	4 5	7		1	3 4 5	
Winchester House Great Winchester Street 1 London EC2N 2DB							
■ E D & F Man Capital Markets Limited	1 2 3				1 2	4	
The News Building London Bridge Street 3 SE1 9SG London							
■ Eaton Vance Advisors International Ltd		4 5					
Old Broad Street 125 EC2N1AR London							
■ Eaton Vance Investment (Management) International Ltd		4 5					
Old Broad Street 125 EC2N1AR London							
■ Ebury Partners Markets Ltd		2 3	5			4	
3rd floor Victoria Street 100 SW1E 5JL London							
■ ED&F Man Capital Markets Ltd	1 2 3				1 2	4	
London Bridge Street 3 SE1 9SG London							
■ Edinburgh Partners Limited	1 2	4 5				5	
Melville Street 27-31 EH3 7JF Edinburgh							
■ Edmond de Rothschild Asset Management (UK) Limited		5	7			3	
Carlton Gardens 4 SW1Y 5AA London							
■ ELITE Club Deal Ltd	1						
The London Stock Exchange Paternoster Square 10 EC4M 7LS London							
■ Fidante Partners Europe Limited	1	5				5	
Bridge House Queen Victoria Street 181 EC4V 4EG London							
■ FIL Investments International	1 2	4 5				4 5	

Beech Gate
 Millfield Lane
 KT20 6RP Tadworth

<p>■ First Reserve International Ltd 7th Floor Victoria Street 25 SW1H 0EX London</p>	1	5				
<p>■ First State Investments International Limited St Andrew Square 23 EH2 1BB Edinburgh</p>	1	2	4	5		
<p>■ First Trust Global Portfolios Limited Angel Court 8 EC2R 7HJ London</p>	1		4	5		5
<p>■ FIS Global Execution Services Ltd Canada Square 25 E14 5LQ London</p>	1					
<p>■ Fisher Investments Europe Ltd Level 18 One Canada Square, Canary Wharf E14 5AX London</p>	1		4	5		
<p>■ Five Arrows Managers LLP New Court St Swithin's Lane EC4N 8AL London</p>	1	2	4	5	7	5
<p>■ Foreign Currency Innovations Ltd Union House, Suite 1C High Street 117 CM12 9AJ Essex</p>	1	2	4			1 4 7
<p>■ Formax Prime Capital (UK) Ltd 2nd Floor Poultry 1 EC2R 8EJ London</p>	1	2	3		6	7
<p>■ Fortem Capital Ltd Gloucester Place 121 W1U 6JY London</p>	1	2	4	5		5 7
<p>■ Fund Analytics Investment Management LLP Wavel Mews 12 NW6 3AB London</p>	1	2	4	5		4 5
<p>■ GC Exchange Ltd King William Street 75 EC4N 7BE London</p>	1	2				
<p>■ Generation Investment Management LLP Air Street 20 W1B 5AN London</p>	1	2	4	5		3 4
<p>■ GFI Brokers Ltd</p>	1		5		8	4

RG12 8FZ Oldbury		
■ Itau BBA International Plc The Broadgate Tower Primrose Street 20 EC2A 2EW London	1 2 3 5 6 7	3 4 5
■ J.P. Morgan Asset Management (UK) LTD 20 Finsbury Street Finsbury Dials EC2Y 9AQ London	1 2 4 5	3 4 5 6
■ J.P. Morgan Securities Ltd Canary Wharf 25 Bank Street E14 5JP London	1 2 3 4 5 6 7	1 2 3 4 5 6 7
■ J.P. Morgan Securities Plc Bank Street 25 E14 5JP London	1 2 3 4 5 6 7	1 2 3 4 5 6 7
■ Jane Street Financial Ltd 2 & A Half Devonshire Square EC2M 4UJ London	2 3	
■ Janus Henderson Investors UK Ltd Bishopsgate 201 EC2M 3AE London	1 2 4 5	4
■ Jefferies International Ltd Vintners Place Upper Thames Street 68 EC4V 3BJ London	1 2 3 4 5 6 7	3 4 5 6
■ JonesTrading International Ltd Chalfont Park Chalfont Street 1 SL9 0BG Gerrards Cross	1 2 3 5	4 5
■ JPMorgan Asset Management (UK) Ltd Victoria Embankment 60 EC4Y 0JP London	1 2 4 5	3 4 5 6
■ KNG Securities LLP Broad Street Place 6 EC2M 7JH London	1 2 3 5 6 7	3 4 6
■ Kotak Mahindra (UK) Ltd Portsoken House, 8th floor Minorities 155-157 EC3N 1LS London	1 2 3 5	1 7
■ Kyte Broking Ltd. Baker Street 55 W1U 7EU London	1 2 3 4 5	1 2 4 7
■ La Française Group UK Finance Limited 4th floor	1 2 4 5	3 5

Brook Street 78
W1K 5EF London

- **Laven Advisors LLP** 1 2 3 4 5
Old Jewry 11,
EC2R 8DU London

- **Lazard & Co Ltd** 5 7 3
Stratton Street 50
W1J 8LL London

- **Lazard Asset Management Ltd** 2 4 1 4 5 7
Stratton street 50
W1J 8LL London

- **Legal & General Investment Management Ltd** 1 2 4 5
One Coleman Street
EC2R 5AA London

- **Lexington Partners UK LLP** 1 5 5
Berkeley Street 50
W1J 8HA London

- **Liberum Capital Ltd** 1 2 3 5 6 7 1 3 4 5 6
Level 12
Ropemaker Street 25
EC2Y 9LY London

- **Linear Investments Ltd** 1 2 4 5 3 5
Grosvenor Gardens 8-10
SW1W0DH London

- **Liontrust Investment Partners LLP** 1
Savoy Court 2
WC2R 0EZ London

- **Lloyds Bank Corporate Markets Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Gresham Street 25
EC2V 7HN London

- **Lloyds Bank Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Gresham Street 25
EC2V 7HN London

- **London Wall Partners LLP** 1 5 5
Salisbury House
London Wall
EC2M 5QQ London

- **Loppex Limited** 1
Fleet Street 160
EC4A 2DQ London

- **Macquarie Capital (Europe) Ltd** 1 2 5 6 7 2 3 4 5 6 7
Ropemaker Place
Ropemaker Street 28
EC2Y 9HD London

- **Mako Global Derivatives Partnership LLP** 3

Snowden Street 1
EC2A 2DQ London

- **Manulife Investment Management (Europe) Ltd** 1 2 4 5
One London Wall
EC2Y 5EA London
- **Marex Financial Ltd** 1 2 3 4 5 1 4 5
Bishopsgate 155
EC2M 3TQ London
- **Marex Spectron International Ltd** 1 2 8
Bishopsgate 155
EC2M 3TQ London
- **Mariana UFP LLP** 1 2 5 7
Cannon Street 100
EC4N 6EU London
- **Mercer Ltd** 1 2 4 5 5
Tower Place West 1
EC3R 5BU London
- **Mercury Capital Advisors LLP** 5 3
7th Floor
Knightsbridge Green 1
SW1X 7QA London
- **Merian Global Investors (UK)** 1 2 4 5 5
Millennium Bridge House
Lambeth Hill 2
London EC4P 4WR
- **Merrill Lynch International** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Lerrill Lynch Financial Centre
King Edward Street 2
EC1A 1HQ London
- **MetLife Investment Management Ltd** 1 2 4 5 7 5
Level 34
Canada Square 1
E14 5AA London
- **Michael Davey Financial Management Ltd** 5
Salisbury Houses
Finsbury Circus
EC2M 5UL London
- **Mirabaud Securities Ltd** 1 2 7 3 5
Bressenden Place 10
SW1E 5DH London
- **Mitsubishi UFJ Asset Management (UK) Ltd** 1 2 4 5 4 5
Finsbury Circus House
Finsbury Circus 12-15
EC2M 7BT London
- **Mitsubishi UFJ Trust International Ltd** 1 2 3 5 6 7 1 6

Lombard Street 24
EC3V 9AJ London

- **Mitsui Bussan Commodities Ltd** 1 2 3 5 1 2 4 5
6th Floor
St Martin's-le-Grand 1
EC1 4BB London

- **Mizuho International Plc** 1 2 3 4 5 6 7 1 2 3 4 6
Old Bailey 30
EC4M 7AU London

- **Montfort International Limited** 1 2 3 4 5 6 7 3 4 5 6 7
Shere Road
GU59BL Albury, Guildford

- **Monument Group Europe LLP** 1 3
4th Floor
Savile Row 7
W1S 3PE London

- **Morgan Stanley & Co. International Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Cabot Square 25
E14 4QA London

- **Morgan Stanley Investment Management Ltd** 1 3 4 5 5
Cabot Square 25
E14 4QA Canary Wharf, London

- **MVision Private Equity Advisors Ltd** 1 5
Connaught House
Mount Street 1-3
W1K 3NB London

- **NATIONAL WESTMINSTER BANK Plc** 2 3 4
BISHOPSGATE 250
EC2M 4AA London

- **NatWest Markets Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
St Andrew Square 36
EH2 2YB Midlothian

- **Nomura International Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Nomura House
Angel Lane 1
EC4R 3AB London

- **Numis Securities Ltd** 1 2 3 5 6 7 1 3 5 6
Paternoster Square 10
EC4M 7LT London

- **Oaktree Capital Management (UK) LLP** 1 2 4 5 4 5
Verde
Bressenden Place 10
SW1E 5DH London

- **Odey Asset Management LLP** 1 4 5
Upper Grosvenor Street 12
W1K 2ND London

■ Odey Wealth Management (UK) Ltd Upper Grosvenor Street 12 W1K 2ND London	1	2							
■ OMERS Infrastructure Europe Ltd The Leadenhall Building Leadenhall Street 122 EC3V 4AB London	1			5					5
■ OMERS Private Equity Europe Ltd The Leadenhall Building Leadenhall Street 122 EC3V 4AB London	1			5					3
■ Onyx Capital Advisory Ltd Wilton Road 25 SW1V 1LW London				5					
■ Oppenheimer Europe Ltd Gracechurch Street 6 EC3V 0AT London	1	2	3	5	7			3	5
■ Outfront Ninety One UK Limited Gresham Street 55 EC2V 7EL London	1	2		4	5	7			
■ Oval Money Ltd Flat G Philbeach Gardens 88 SW5 9EU London	1								
■ Panmure Gordon (UK) Limited One New Change EC4M 9AF London	1	2	3	5	6	7		1	3 4 5 6
■ Payden & Rygel Global Limited Bartholomew Lane 1 EC2N 2AX London	1	2		4	5				5
■ Peel Hunt LLP Moor House London Wall 120 EC2Y 5ET London	1	2	3	5	6	7		1	3 4 5 6
■ Pemberton Capital Advisors LLP Terminal House Grosvenor Gardens 52 SW1W 0AU London	1			5					5
■ Perella Weinberg UK Ltd Grafton Street 20 W1S 4DZ London	1			5	7			3	5
■ Permira Credit Ltd Pall Mall 80 SW1Y 5ES London	1			5					
■ PGIM Ltd Grand Buildings, Trafalgar Square	1	2		4	5			1	3 4 5 7

Strand 1-3
WC2N 5HR London

- **PGIM Private Capital Ltd** 1 4 5
8th Floor
London Bridge 1
SE1 9BG London
- **Phoenix Asset Management Partners Limited** 4 5
Glentham Road
SW13 9JJ London
- **Pictet Global Markets (UK) Limited** 1 2 3 4 5 4 5
Stratton House, 6th Floor
Stratton Street 5
W1J 8LA London
- **Pimco Europe Ltd.** 1 2 4 5 5
Baker Street 11
W1U 3AH London
- **PJT Partners (UK) Ltd** 1 5 3 5
Fifth floor
One Curzon Street
W1J 5HD London
- **PRICOA Capital Group Ltd** 1 5 3 4 5 6
London Bridge 1
SE1 9BG London
- **Principal Global Investors (Europe) Ltd** 1 2 4 5 5
Wood Street 1
EC2V 7JB London
- **Prosperity Capital Management (UK) Ltd** 1 5 5
2nd floor
Cavendish Square 6
W1G 0PD London
- **Putnam Investments Ltd** 1 4 5 4 5 7
St. James's Street 16
SW1A 1ER London
- **PVM Oil Futures Ltd** 1 2 5 5
Verde Building, 3rd Floor
Bressenden Place 10
SW1E 5DH London
- **Quantessence Financial Ltd** 1 5
Cannon Street 33
EC4M 5SB London
- **Quest Fund Placement Ltd** 1 5
Egyptian House , 4th floor
Piccadilly 170
W1J 9EJ London
- **R.J. O'Brien Ltd** 1 2 3 1 2 4 5
Cheapside 100

EC2V 6DT London

- **Raymond James Financial International Limited** 1 2 3 5 7 3 5
Broadwalk House
Appold Street 5
EC2A 2AG London

- **RBC Europe Ltd** 1 2 3 4 6 7 1 2 3 4 5 6 7
Bishopsgate 100
EC2N 4AA London

- **RBC Global Asset Management (UK) Limited** 1 2 4 5 4
Grosvenor Street 77
W1K 3JR London

- **Record Currency Management Ltd** 1 2 4 5 4 5
Morgan House
Madeira Walk
SL4 1EP Windsor

- **Rede Partners LLP** 1 5
3rd floor
Park Street 110
W1K 6NX London

- **Refinitiv Transaction Services Ltd** 1 8
The Thomson Reuters Building
South Colonnade 30
E14 EP London

- **Renaissance Capital Ltd** 1 2 3 4 5 6 7 1 2 3 4 5 6
4th floor
Bank Street 50
E14 5NT London

- **RIA Capital Markets Limited** 2 3
George Street 91
EH2 3ES Edinburgh

- **Ruffer LLP** 1 2 4 5 1 4 7
Victoria Street 80
SW1E 5JL London

- **Russell Investments Implementation Services Ltd** 1 2 4 5 4
Rex House
Regent Street 10
SW1Y 4PE London

- **Russell Investments Ltd** 1 2 4 5 4
Rex House
Regent Street 10
SW1Y 4PE London

- **RWC Asset Management LLP** 1 4 5
Verde
Bressenden Place 10
SW1E 5DH London

<ul style="list-style-type: none"> ■ RWC Partners Verde 4th Floor Bressenden Place 10 SW1E 5DH London 	5		
<ul style="list-style-type: none"> ■ Sanford C. Bernstein Ltd Berkeley Street 50 W1J 8SB London 	1 2 3	5 6 7	4 5 6
<ul style="list-style-type: none"> ■ Santander UK Plc Regent's Place Triton Square 2 NW1 3AN London 	3		
<ul style="list-style-type: none"> ■ Saranac Partners Ltd St. James's Street 16 SW1A 1ER London 	1 2	4 5	7
<ul style="list-style-type: none"> ■ Schroder & C° Ltd London Wall Place 1 EC2Y 5AU London 	1 2	4 5	4
<ul style="list-style-type: none"> ■ Schroder Investment Management Ltd. London Wall Place 1 EC2Y 5AU London 	1 2	4 5	5
<ul style="list-style-type: none"> ■ Scotiabank Europe plc 6th Floor Bishopsgate 201 EC2M 3NS London 	1 2 3	6 7	3 4 5 6
<ul style="list-style-type: none"> ■ SECOR Investment Advisors (UK) LLP 1st Floor Sun Street 37 EC2M 2PL London 	5		
<ul style="list-style-type: none"> ■ Seilern Investment Management Ltd Portland Place 43 W1B 1QH London 	1	3 4 5	5
<ul style="list-style-type: none"> ■ Sera Global Securities UK LP King William Street 85 EC4N 7BL London 	1	5	3 5
<ul style="list-style-type: none"> ■ SMBC Nikko Capital Markets Ltd Queen Victoria Street 99 EC4V 4EH London 	1 2 3	5 6 7	4 5 6 7
<ul style="list-style-type: none"> ■ Societe Generale International Ltd Bishops Square 10 London 	1 2 3	5 6 7	1 2 4 5 6 7
<ul style="list-style-type: none"> ■ Spayne Lindsay & Co. LLP Strand 55 WC2N 5LR London 	5		
<ul style="list-style-type: none"> ■ Square Capital LLP Savile Row 9-10 W1S 3PF London 	1 2 3 4 5		

E14 9XL London								
■ Swiss Re Capital Markets Ltd	1	2	3	6	7	3	4	7
St Mary Axe 30								
EC3A 8EP London								
■ T. Rowe Price International Ltd				4				5
Queen Victoria Street 60								
EC4N 4TZ London								
■ TD Securities Ltd	1	2	3	6	7	2	4	5 6 7
Threadneedle Street 60								
EC2R 8AP London								
■ TFS Derivatives Limited	1		3	5				5
Beaufort House								
St. Botolph Street 15								
EC3A 7QX London								
■ TFS-Icap Ltd	1			5				5
Beaufort House								
St. Botolph Street 15								
EC3A 7QX London								
■ The Asset Management Exchange (UK) Ltd	1	2		4	5			
2nd Floor								
Cheapside 107								
EC2V 6DN London								
■ The Blackstone Group International Partners LLP	1			5				5
Berkeley Square 40								
W1J 5AL London								
■ The Link Asset and Securities Company Ltd	1	2	3					
Broadgate 2								
EC2M 7UR London								
■ The Seaport Group Europe LLP	1	2	3	5				5
7th Floor								
Regent Street 5-11								
SW1Y 4LR London								
■ THM Partners LLP	1			4	5		3	
Watling Street 25								
EC4M 9BR London								
■ Tilney Asset Management Ltd	1	2		4	5		5	7
Chesterfield Gardens 6								
W1J 5BQ London								
■ Tilney Asset Management Services Ltd	1	2		4	5		4	5
Chesterfield Gardens 6								
W1J 5BQ London								
■ Tilney Discretionary Investment Management	1	2		4	5			
Chesterfield Gardens 6								
W1J 5BQ Mayfair								

<ul style="list-style-type: none"> ■ Tilney Discretionary Portfolio Management Ltd Chesterfield Gardens 6 W1J 5BQ London 	1	2	4	5	1	5						
<ul style="list-style-type: none"> ■ Tilney Financial Planning Ltd Chesterfield Gardens 6 W1J 5BQ London 	1			5		5						
<ul style="list-style-type: none"> ■ Tilney Investment Management Ltd Chesterfield Gardens 6 W1J 5BQ London 	1	2	4	5	6	7	1	2	3	4	5	6
<ul style="list-style-type: none"> ■ Tilney Investment Management Services Ltd Chesterfield Gardens 6 W1J 5BQ London 	1	2	4	5	1	5						
<ul style="list-style-type: none"> ■ Tokio Marine Asset Management (London) Ltd Fenchurch Street 20 EC3M 3BY London 	1	2	4	5								
<ul style="list-style-type: none"> ■ Torrey Partners (Europe) LLP Stratford Place 15 W1C 1BE London 	1			5								
<ul style="list-style-type: none"> ■ Tradition (UK) Ltd Beaufort House St Botolph Street 15 EC3A 7DT London 	1			5	8		4	5				
<ul style="list-style-type: none"> ■ Tradition Financial Services Ltd Beaufort House St. Botolph Street 15 EC3A 7QX London 	1			5			5	7				
<ul style="list-style-type: none"> ■ Tradition London Clearing Ltd Beaufort House St. Botolph Street 15 EC3A 7DT London 				3								
<ul style="list-style-type: none"> ■ Tullett Prebon (Europe) Ltd Bishopsgate 155 EC2M 3TQ London 	1	2	3	5	8		5					
<ul style="list-style-type: none"> ■ Tullett Prebon Securities Ltd Bishopsgate 155 EC2M 3TQ London 	1	2	3	5	8		5					
<ul style="list-style-type: none"> ■ Twelve Capital (UK) Ltd Moss House Brooks Mews 15-16 W1K 4DS London 	1	2	4	5			3	4	5			
<ul style="list-style-type: none"> ■ UBS Asset Management (UK) Ltd Broadgate 5 EC2M 2QS London 	1	2	3	4	5	6	7					
<ul style="list-style-type: none"> ■ UK Bond Network Ltd 	1	2	3	6	7		7					

CAP House, 5th floor
 Long Lane 9-12
 EC1A 9HA London

- **UTI International Ltd** 4
 New Cavendish Street 120
 W1W 6XX London
- **Valiance Advisors LLP** 1 5 5
 Hanover Square 13
 W1S 1HN London
- **ValuAnalysis Ltd** 5 3 5
 St.James's Square 8
 SW1Y 4JU London
- **Vantage Capital Markets LLP** 1 2 3 7
 Equitable House, 1st Floor
 King William Street 47
 EC4R 9AF London
- **Veritas Asset Management LLP** 1 2 4 4
 Smart's Place 1
 WC2B 5LW London
- **VTB Capital Plc** 1 2 3 6 7 3 4 5 6 7
 5th Floor
 Cornhill 14
 EC3V 3ND London
- **Wellington Management International Ltd.** 1 2 4 5 4 5
 Cardinal Place
 Victoria Street 80
 SW1E 5JL London
- **Wells Fargo Securities International Ltd** 1 2 3 5 6 7 3 4 5 6
 King William Street 33
 EC4R 9AT London
- **Western Asset Management Company Limited** 1 2 4 5 5
 Exchange Square 10
 EC2A2EN London
- **WHEB Asset Management LLP** 1 2 4 5
 Cavendish Square 7
 W1G 0PE London
- **White Oak Capital Management (UK) Ltd** 1
 Threadneedle Street 32
 EC2R 8AY London
- **Whitcroft Capital Management LLP** 1 2 4 5
 Eaton Gate 2
 SW1W 9BJ London
- **William Blair International** 1 2 4 5 3 5
 The Broadgate Tower, 17th floor
 Primrose Street 20
 EC2A 2EW London

<ul style="list-style-type: none"> ■ Winterflood Securities Ltd Cannon Bridge House Dowgate Hill 25 EC4R 2GA London 	1 2 3	5
<ul style="list-style-type: none"> ■ XConnect Trading Ltd 6th Floor Basildon House 7 EC2R 6AF London 	1 2 5	
o Singapour		
<ul style="list-style-type: none"> ■ Lumen Capital Investors Pte Ltd Singapore Land Tower Raffles Place 50 048623 Singapore 	1 4	
<ul style="list-style-type: none"> ■ Macquarie Capital Securities (Singapore) Ltd Millenia Tower 27-01 1 Temasek Avenue 039192 Singapore 	1 2 3 5 6 7	1 4 5 6
o Suisse		
<ul style="list-style-type: none"> ■ Aquila Florentina Asset Management AG Bahnhofstrasse 43 8001 Zürich 	4	
<ul style="list-style-type: none"> ■ Bank Vontobel AG Gotthardstrasse 43 8022 Zürich 	1 2 3 4 5 6	1 2 3 4 5 6 7
<ul style="list-style-type: none"> ■ Banque Pâris Bertrand SA Rue du Rhône 30 1204 Geneva 	4	
<ul style="list-style-type: none"> ■ Baring Asset Management Switzerland Sarl rue du Marché 28 1204 Genève 	1 5	5
<ul style="list-style-type: none"> ■ Capital International SARL 3 Place des Bergues 1201 Genève 	4 5	5
<ul style="list-style-type: none"> ■ Capstone Advisors SA Rue Juste Olivier 9 1260 Nyon 	1 5	
<ul style="list-style-type: none"> ■ CITÉ GESTION SA Rue de la Cité 15-17 CH-1204 Genève 	1 2 3 4 5 6	5
<ul style="list-style-type: none"> ■ COGES Corratierie Gestion SA Rue du Rhône 65 1204 Genève 	1 2 4 5 6	5
<ul style="list-style-type: none"> ■ Credit Suisse (Switzerland) AG Paradeplatz 8 8001 Zürich 	1 2 3 4 5 6 7	1 2 3 4 5 6 7

<ul style="list-style-type: none"> ■ Crédit Suisse AG P.O. Box 100 Paradeplatz 8 8001 Zürich 	1 2 3 4 5 6 7	1 2 3 4 5 6 7
<ul style="list-style-type: none"> ■ de Pury Pictet Turrettini & Cie SA Rue de la Corraterie 12 1204 Geneva 	4 5	4
<ul style="list-style-type: none"> ■ DWS CH AG Hardstrasse 201 8005 Zürich 	4 5	
<ul style="list-style-type: none"> ■ EBS Service Company Ltd. Lavaterstrasse 40 8002 Zurich 	1	
<ul style="list-style-type: none"> ■ Fisch Asset Management AG Bellerive 241 8008 Zürich 	1 4 5	5
<ul style="list-style-type: none"> ■ Gottex Brokers SA Chemin de Pallin 6 1009 Pully 	1	
<ul style="list-style-type: none"> ■ IBKR Financial Services AG Gotthardstrasse 3 6300 Zug 	2 3	1 4
<ul style="list-style-type: none"> ■ Intermoney Financial Products SA Chemin de la Clergère 23 CH-1009 Pully 	3	
<ul style="list-style-type: none"> ■ LGT Capital Partners Ltd Schützenstrasse 6 8808 Pfäffikon 	4 5	
<ul style="list-style-type: none"> ■ Morgan Stanley AG Beethovenstrasse 33 CH-8002 Zurich 	4	
<ul style="list-style-type: none"> ■ Pictet Asset Management SA Route des Acacias 60 1211 Genève 	1 4 5	
<ul style="list-style-type: none"> ■ responsAbility Investments AG Zollstrasse 17 8005 Zürich 	1 4 5	5 6
<ul style="list-style-type: none"> ■ Swiss Life Asset Management Ltd General-Guisan-Quai 40 8002 Zurich 	1 2 5	5
<ul style="list-style-type: none"> ■ The Forum Finance Group SA rue de la Croix d'Or 6 1204 Genève 	4	
<ul style="list-style-type: none"> ■ UBS AG Bahnhofstrasse 45 8001 Zurich 	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7

