

LISTE DES ENTREPRISES D'INVESTISSEMENT RELEVANT DU DROIT D'UN ETAT NON MEMBRE DE L'ESPACE ECONOMIQUE EUROPEEN QUI ONT NOTIFIE LEUR INTENTION DE PRESTER DES SERVICES D'INVESTISSEMENT EN BELGIQUE

Article 14 de la loi du 25 octobre 2016 relative à l'accès à l'activité de prestation de services d'investissement et au statut et au contrôle des sociétés de gestion de portefeuille et de conseil en investissement

(*) Services et activités d'investissement, visés à l'article 2, 1°, de la loi du 25 octobre 2016 :

- 1. La réception et la transmission d'ordres portant sur un ou plusieurs instruments financiers, en ce compris la mise en rapport de deux ou plusieurs investisseurs permettant ainsi la réalisation, entre ces investisseurs, d'une opération ;
- 2. L'exécution d'ordres au nom de clients;
- 3. La négociation pour compte propre;
- 4. La gestion de portefeuille;
- 5. Le conseil en investissement;
- 6. La prise ferme d'instruments financiers et/ou le placement d'instruments financiers avec engagement ferme;
- 7. Le placement d'instruments financiers sans engagement ferme;
- 8. L'exploitation d'un système multilatéral de négociation (MTF);

(**) Services auxiliaires, visés à l'article 2, 2°, de la loi du 25 octobre 2016 :

- 1. La conservation et l'administration d'instruments financiers pour le compte de clients, y compris la garde et les services connexes, comme la gestion de trésorerie/de garanties;
- 2. L'octroi d'un crédit ou d'un prêt à un investisseur pour lui permettre d'effectuer une transaction sur un ou plusieurs instruments financiers, dans laquelle intervient l'entreprise qui octroie le crédit ou le prêt;
- 3. Le conseil aux entreprises en matière de structure du capital, de stratégie industrielle et de questions connexes; le conseil et les services en matière de fusions et de rachat d'entreprises;
- 4. Les services de change lorsque ces services sont liés à la fourniture de services d'investissement;
- 5. La recherche en investissements et l'analyse financière ou toute autre forme de recommandation générale concernant les transactions sur instruments financiers;
- 6. Les services liés à la prise ferme;
- 7. Ceux des services et activités d'investissement précités et services auxiliaires qui concernent le marché sous-jacent des instruments dérivés visés à l'article 2, alinéa 1er, 1°, e), f), g) et j), de la loi du 2 août 2002, lorsqu'ils sont liés à la prestation de services d'investissement ou de services auxiliaires.

Situation au 03-05-2021

	Services d'investissement (*)				Services auxiliaires (**)						
o Australie											
■ Australia and New Zealand Banking Group Ltd	1	2	3	6	7	4	5	6	7		
Docklands											
Level 9, 833 Collins Street, ANZ Centre											
Melbourne											
3008 Victoria											
■ Macquarie Bank Ltd	1	2	3	5	6	1	2	4	5	6	7
Level 6											
Martin Place 50											
NSW 2000 Sydney											
■ Macquarie Securities (Australia) Ltd	1	2		5	6	7	1		5	6	
1 Martin Place											
Level 3											
2000 Sidney, New South Wales											

- **National Australia Bank Limited** 1 2 3 4 5 6 7 1 2 4 5 6 7
 Level 1
 Bourke Street 800
 3008 Docklands
- **Westpac Banking Corporation** 1 2 3 4 5 6 7 1 2 3 4 6
 1st Floor, Martin Place 60
 NSW 2000 SYDNEY

o **Canada**

- **Aligned Capital Partners Inc** 1 2 3 4 5
 suite 300
 Champlain Ave 1001
 L7L 5Z4 Burlington
- **Bank of Montreal** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
 rue Saint-Jacques 129
 H2Y 1L6 Quebec
- **Fiera Capital Corporation** 4
 Suite 1500
 McGill College Avenue 1981
 QC H3A 0H5 Montreal
- **K.J. Harrison & Partners Inc** 4
 Bedford Road 60
 M5R 2K2 Toronto
- **Royal Bank of Canada** 1 2 3 2 4 7
 Royal Bank Plaza
 Bay Street 200
 M5J 2J5 Toronto
- **Scotia Capital Inc.** 1 2 3 6 7
 Scotia Plaza
 40 King St. West
 M5W 2X6 Toronto
- **The Bank of Nova Scotia** 1 2 3 4 5 6 7 8 1 2 3 4 5 6 7
 Hollis Street 1709
 B3J 3B7 Halifax
- **The Toronto-Dominion Bank** 1 2 3 5 6 7 3 4 5 6 7
 15th Floor, TD Tower
 Wellington St. West 66
 ON M5K 1A2 Toronto

o **Etats-Unis**

- **Acadian Asset Management LLC** 2 4 5 5
 Franklin Street 260
 MA 02110 Boston
- **Bank of America NA** 1 2 3 4 6 7
 STE 170
 N. Tryon Street 100,
 28202 Charlotte

<ul style="list-style-type: none"> ■ Payden & Rygel 333 South Grand Avenue CA 90071 Los Angeles 	4						
<ul style="list-style-type: none"> ■ PGIM, Inc. Broad Street 655 NJ 07102 Newark 	1	3	4	5	7		4
<ul style="list-style-type: none"> ■ Polaris Capital Management LLC High Street 121 MA 02110 Boston 				4			4 5
<ul style="list-style-type: none"> ■ Pzena Investment Management llc 320 Park Ave 8th floor NY 10022 New York 				4	5	7	
<ul style="list-style-type: none"> ■ QMA LLC Gateway Center 2, 4th floor McCarter Highway & Market Street NJ 07102 Newark 	1			4	5		4
<ul style="list-style-type: none"> ■ Sanford C. Bernstein & C° Inc. 767 Fifth avenue NY 10153-0185 New York 	1	2	3	4		6	7
<ul style="list-style-type: none"> ■ State Street Bank and Trust Company Financial Center Lincoln Street 1 02111 Boston 	1	2	3	4			1 2 4 5
<ul style="list-style-type: none"> ■ State Street Global Advisors Trust Company Iron Street 1 MA 02210 Boston 				4	5		5
<ul style="list-style-type: none"> ■ The Bank of New York Mellon Greenwich Street 240 NY 10286 New York 	1	2	3	4	5	6	7 1 2 4 5 6 7
<ul style="list-style-type: none"> ■ The Northern Trust Company South LaSalle Street 50 60603 Chicago 	1	2	3				1 2 4
<ul style="list-style-type: none"> ■ Westwood Management Corp. Suite 1200 Crescent Court 200 75201 Dallas 				4	5		4 5 7
o <u>Guernsey</u>							
<ul style="list-style-type: none"> ■ Schroders Ltd Esplanada GY13UF ST Peter Prt 	1	2		4	5		4
o <u>Hong-Kong</u>							
<ul style="list-style-type: none"> ■ Invesco Asia Ltd. 12/F Three Exchange Square 8 Connaught Place Hong Kong 							4

<ul style="list-style-type: none"> ■ Alpha FX Ltd Brunel Building Canalside Walk 2 W2 1DG London 	1 2 3 5	4
<ul style="list-style-type: none"> ■ AMIUS Ltd 4th floor Drury Lane 55 WC2B 5SQ London 	1 2 3 5	
<ul style="list-style-type: none"> ■ Anchor International Partners Ltd St. Vincent House Orange Street 30 WC2H 7HF London 	5	3
<ul style="list-style-type: none"> ■ Antler Capital Partners LLP Lansdowne House Berkeley Square 57 W1J 6ER London 	4	
<ul style="list-style-type: none"> ■ Apollo Asset Management Europe LLP St. George Street 25 W1S 1FS London 	1 2 4 5	3 4 5 6 7
<ul style="list-style-type: none"> ■ Arabesque Asset Management Ltd Grosvenor Street 43 W1K 3HL London 	1 5	5
<ul style="list-style-type: none"> ■ Architas Multi-Manager Ltd Old Broad Street 5 EC2N 1AD London 	4 5	
<ul style="list-style-type: none"> ■ Arcmont Asset Management Ltd 3rd Floor Hanover Square 5 W1S 1HE London 	1	
<ul style="list-style-type: none"> ■ Ardent Financial Ltd Berkeley Street 16 W1J 8DZ London 	1 2 3	
<ul style="list-style-type: none"> ■ Arete Research Services LLP Hardwick Street 5-8 EC1R 4RG London 		5
<ul style="list-style-type: none"> ■ Arundel Group Ltd St James's Square 31a SW1Y 4JR London 	1 2 5 7	3
<ul style="list-style-type: none"> ■ Asante Capital Group LLP Old Burlington Street 25 W1S 3AN London 	1 5	
<ul style="list-style-type: none"> ■ Aspect Capital Ltd Portman Square 10 W1H 6AZ London 	4 5	
<ul style="list-style-type: none"> ■ Astrid Advisors Ltd Park Lane 75 	1 5	5

<p>■ Bernstein Autonomous LLP Berkeley Street 50 W1J 8SB London</p>	1 2 3 5	4 5
<p>■ BGC Brokers LP Canary Wharf One Churchill Place E14 5RD London</p>	1 2 3 5	1 4 5
<p>■ BlackRock Advisors (UK) Ltd Throgmorton Avenue 12 EC2N 2DL London</p>	1 2 4 5	3 5 7
<p>■ BlackRock Investment Management (UK) Ltd Throgmorton Avenue 12, EC2N 2DL London</p>	1 2 4 5	3 5
<p>■ Blankstone Sington Ltd Walker House Exchange Flags L2 3YL Liverpool</p>	1 2 4 5	1 5
<p>■ BMO Capital Markets Ltd Queen Victoria Street 95 EC4V 4HG London</p>	1 2 3 6 7	1 2 3 4 5 6 7
<p>■ BNP PARIBAS ASSET MANAGEMENT UK Ltd Aldermanbury Square 5 EC2V 7BP London</p>	1 2 4 5	4 5 7
<p>■ Bohler & Associates Ltd Cavendish Square 17 W1G 0PH London</p>	2 5	5
<p>■ Bradesco Securities UK Ltd 25th floor Bishopsgate 110 EC2N 4AY London</p>	1 2 5	5 6
<p>■ Braemar Atlantic Securities Ltd Trafalgar Square Strand 1 WC2N 5HR London</p>	1 2	
<p>■ Brewin Dolphin Ltd Smithfield Street 12 EC1A 9LA London</p>	1 2 3 4 5	1 4 5
<p>■ Britannic Energy Trading ltd Chertsey Road GB-TW16 7BP Sunbury</p>	1 2 3 5	2 3 4 5 7
<p>■ Britannic Trading Ltd Canada Square 20 E14 5NJ London</p>	1 2 3 5	2 3 4 5 7
<p>■ British Arab Commercial Bank Plc Mansion House Place 8-10</p>	1 2 3 4	1 2 4

W1S 3PB London							
■ Chatham Financial Europe Ltd	1	2		5			4 5
St James's Square 12							
SW1Y 4LB London							
■ China International Capital Corporation (UK) Ltd	1	2	3		5	6	7
Level 25							
Old Broad Street 125							
EC2N 1AR London							3 4 5 6
■ Citadel Securities (Europe) Ltd	1	2	3	4	5		
Moor House							5
London Wall 120							
EC2Y 5ET London							
■ Citigroup Global Markets Ltd	1	2	3	4	5	6	7
Canada Square 33							1 2 3 4 5 6 7
E14 5LB London							
■ CLSA (UK)	1	2	3		5	6	7
Moor House, 12th floor							3 4 5 6
London Wall 120							
EC2Y 5ET London							
■ Cohen & Steers UK Ltd	1	2			4	5	
7th Floor							
Pall Mall 50							
SW1Y 5JH London							
■ Colchester Global Investors Ltd	1				4	5	
Heathcoat House							
Savile Row 20							
W1S 3PR London							
■ Columbus Point LLP	1	2			4	5	
Albemarle Street 4							
W1S 4GA London							
■ Colville Capital Partners Ltd	1	2			4	5	
Savile Row 9-10							
W1S 3PF London							
■ Connaught (UK) Ltd	1				5	7	
Yalding House							3
Great Portland Street 152-156							
W1W 6AJ London							
■ Conning Asset Management Limited	1	2			4	5	
Monument Street 24							3 5 7
EC3R 8AJ London							
■ Cowen Execution Services	1	2	3		5	7	
Snowden Street 1							3 4 5 6
EC2A 2DQ London							
■ Cowen International Ltd	1	2	3		5	6	7
11th floor							
Snowden Street 1							3 4 5 6

EC2A 2DQ London									
■ Credit Suisse Asset Management Ltd	1	2	4	5		1	4	5	7
Cabot square 1									
E14 4 QJ London									
■ Credit Suisse International	1	2	3	4	5	6	7	1	2
One Cabot Square, Canary Wharf									
E14 4QJ London									
■ Crédit Suisse Securities (Europe) Limited	1	2	3	4	5	6	7	1	2
One Cabot Square, Canary Wharf									
E14 4QJ London									
■ Daiwa Capital Markets Europe Ltd	1	2	3	5	6	7	1	2	3
King William Street 5									
EC4N 7AX London									
■ Daymer Bay Capital Ltd	1								
Victoria Street 83									
SW1H 0HW London									
■ Delfinus LLP	1		5					3	
Hill Street 3									
W1J 5LB London									
■ Demica Finance	1							3	
Bow Bells House									
Bread Street 1									
EC4M 9BE London									
■ Dimensional Fund Advisors Ltd.	1	2	4	5				4	5
Triton Street 20									
NW1 3BF London									
■ DWS Investments UK	1	2	4	5	7		1	3	4
Winchester House									
Great Winchester Street 1									
London EC2N 2DB									
■ E D & F Man Capital Markets Limited	1	2	3				1	2	4
The News Building									
London Bridge Street 3									
SE1 9SG London									
■ Eaton Vance Advisors International Ltd			4	5					
Old Broad Street 125									
EC2N1AR London									
■ Eaton Vance Investment (Management) International Ltd			4	5					
Old Broad Street 125									
EC2N1AR London									
■ Ebury Partners Markets Ltd		2	3	5				4	
3rd floor									
Victoria Street 100									
SW1E 5JL London									
■ ED&F Man Capital Markets Ltd	1	2	3				1	2	4

London Bridge Street 3
SE1 9SG London

- **Edinburgh Partners Limited** 1 2 4 5 5
Melville Street 27-31
EH3 7JF Edinburgh
- **Edmond de Rothschild Asset Management (UK) Limited** 5 7 3
Carlton Gardens 4
SW1Y 5AA London
- **ELITE Club Deal Ltd** 1
The London Stock Exchange
Paternoster Square 10
EC4M 7LS London
- **Fidante Partners Europe Limited** 1 5 5
Bridge House
Queen Victoria Street 181
EC4V 4EG London
- **FIL Investments International** 1 2 4 5 4 5
Beech Gate
Millfield Lane
KT20 6RP Tadworth
- **FIL Pensions Management** 1 2 4 5 6 7 1 2 4 5 6 7
Oakhill House
Tonbridge Road 130
TN 11 9 DZ Tonbridge
- **First Reserve International Ltd** 1 5
7th Floor
Victoria Street 25
SW1H 0EX London
- **First State Investments International Limited** 1 2 4 5
St Andrew Square 23
EH2 1BB Edinburgh
- **First Trust Global Portfolios Limited** 1 4 5 5
Angel Court 8
EC2R 7HJ London
- **FIS Global Execution Services Ltd** 1
Canada Square 25
E14 5LQ London
- **Fisher Investments Europe Ltd** 1 4 5
Level 18
One Canada Square, Canary Wharf
E14 5AX London
- **Five Arrows Managers LLP** 1 2 4 5 7 5
New Court
St Swithin's Lane
EC4N 8AL London

<p>■ Foreign Currency Innovations Ltd Union House, Suite 1C High Street 117 CM12 9AJ Essex</p>	1	2	4		1	4	7
<p>■ Formax Prime Capital (UK) Ltd 2nd Floor Poultry 1 EC2R 8EJ London</p>	1	2	3	6	7		
<p>■ Fortem Capital Ltd Gloucester Place 121 W1U 6JY London</p>	1	2	4	5		5	7
<p>■ Fund Analytics Investment Management LLP Wavel Mews 12 NW6 3AB London</p>	1	2	4	5		4	5
<p>■ GC Exchange Ltd King William Street 75 EC4N 7BE London</p>	1	2					
<p>■ Generation Investment Management LLP Air Street 20 W1B 5AN London</p>	1	2	4	5		3	4
<p>■ GFI Brokers Ltd Snowden Street 1 EC2A 2DQ London</p>	1		5	8		4	
<p>■ GFI Securities Limited Snowden Street 1 EC2A 2DQ London</p>	1	2	3	5	7	8	5
<p>■ GFP Juniper LLP Great Guildford Street 30 SE1 0HS London</p>	1		5				5
<p>■ Globacap Ltd Octagon Point Cheapside 5 EC2V 6AA London</p>	1					1	
<p>■ Global Coal Limited Coleman Street 30 EC2R 5AL London</p>	1	2					7
<p>■ GMSA Investments Ltd Grosvenor Street 66 W1K 3JL London</p>	1	2	3	6	7		
<p>■ GoldenTree Asset Management UK LLP Davies Street 33 W1K 4LR London</p>	1	2	4	5		4	5
<p>■ Goldman Sachs Asset Management International Plumtree Court Shoe Lane 25</p>	1	2	4	5		4	5

London EC4A 4AU

- **Goldman Sachs International** 1 2 3 4 5 6 7 8 1 2 3 4 5 6 7
Peterborough Court
Shoe Lane 25
EC4A 4AU London
- **Goldman Sachs International Bank** 1 2 3 4 5 6 7 8 1 2 3 4 5 6 7
Peterborough Court
Fleet Street 133
EC4A 2BB London
- **Green Street Advisors (UK) Ltd** 3 5
6th Floor
Panton Street 30
SW1Y 4AJ London
- **Greenhill & Co. International LLP** 1 5 3 5
Lansdowne House
Berkeley Square 57
W1J 6ER London
- **Greensledge Capital Markets Europe LLP** 1 2 3 5 7 1 3 5 6 7
Broadwickstreet 30
W1F 8JB London
- **GST Capital Ltd** 3
Ballards Lane 35
N3 1XW London
- **Gulf International Bank (UK) Ltd** 1 2 3 4 5 1 4 5 7
Knightsbridge 1
SW1X 7XS London
- **Guy Butler Ltd** 1 2 3 5 5 7
Lynton House
Tavistock Square 7-12
WC1H 9LT London
- **HarbourVest Partners (U.K) Limited** 1 5 5
3rd Floor
Jermyn Street 33
SW1Y 6DN London
- **Harrington Cooper LLP** 5 5
The Royal Exchange
Royal Exchange Steps 2
EC3V 3DG London
- **Henderson Global Investors Ltd** 1 2 4 5 4
Bishopsgate 201
EC2M 3AE London
- **Hermes Investment Management Ltd** 1 2 4 5 4 6
Sixth floor
Cheapside 150
EC2V 6ET London
- **Hewitt Risk Management Services Ltd** 1 4 5

The Aon Centre, The Leadenhall Building
 Leadenhall Street 122
 EC3V 4NA London

<p>■ Hidden Road Partners CIV UK Ltd Berkeley Square House, 2nd floor Berkeley Square 2/F W1J 6BD London</p>	1 2 3	1	4	7
<p>■ Hilbert Investment Solutions Ltd St. Clements House Clement's Lane 27 EC4N 7AE London</p>	1 2 3 4 5	1		
<p>■ Hines Europe Real Estate Investments Ltd Welbeck Street 5 W1G 9YQ London</p>	1	5		5
<p>■ Hobart Capital Markets LLP Grosvenor Gardens 8-10 SW1W 0DH London</p>	1 2	4 5		4 5
<p>■ Houlihan Lokey EMEA LLP Curzon Street 1 W1J 5HD London</p>	1	5 7		3 5
<p>■ HSBC Bank Plc Canada Square 8 E14 5HQ London</p>	1 2 3 4 5 6 7		1 2 3 4 5 6 7	
<p>■ ICAP Energy Limited Broadgate 2 EC2M 7UR London</p>	1 2	5	8	5 7
<p>■ ICAP Europe Limited Broadgate 2 EC2M 7UR London</p>	1 2	5	8	5 7
<p>■ ICAP Global Derivatives Limited Broadgate 2 EC2M 7UR London</p>	1 2		8	
<p>■ Icap Securities Ltd Broadgate 2 EC2M 7UR London</p>	1 2 3	5	8	1 5 7
<p>■ ICAP WCLK Broadgate 2 EC2M 7UR London</p>			8	
<p>■ ICBC Standard Bank Plc Gresham Street 20 EC2V 7JE London</p>	1 2 3	6 7		1 2 3 4 5 6 7
<p>■ IDS Capital (UK) Ltd Chipstead Street 37 SW63SR London</p>	1	4 5		5
<p>■ Insight Investment Management (Global) Limited</p>	1 2	4 5		3 4 5 6 7

Queen Victoria Street 160
EC4V 4LA London

<p>■ Instinet Europe Ltd Angel Lane 1 EC4R 3AB London</p>	1	2	3	5	8	5									
<p>■ Institutional Cash Distributors Ltd Crown Place 30 EC2A 4EB London</p>	1														
<p>■ Interactive Brokers (UK) Ltd 20th Floor Bishopsgate 110 EC2N 4AY London</p>	1	2				1	2	4	7						
<p>■ Invesco Asset Management Ltd Perpetual Park Perpetual Park Drive EC2A 1AG Henley-on-Thames</p>	1	2	4	5				4	5						
<p>■ Investcorp Credit Management EU Ltd Investcorp House Grosvenor Street 48 W1K 3HW London</p>	1	2	4	5		1	2	4	5						
<p>■ Investec Bank plc Gresham Street 30 London</p>	1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
<p>■ Ipreo Ltd The Capitol Building RG12 8FZ Oldbury</p>	1														
<p>■ Itau BBA International Plc The Broadgate Tower Primrose Street 20 EC2A 2EW London</p>	1	2	3	5	6	7		3	4	5					
<p>■ J C Rathbone Associates Ltd St James's Square 12 SW1Y 4LB London</p>	1			5				4	5						
<p>■ J.P. Morgan Asset Management (UK) LTD 20 Finsbury Street Finsbury Dials EC2Y 9AQ London</p>	1	2	4	5				3	4	5	6				
<p>■ J.P. Morgan Securities Ltd Canary Wharf 25 Bank Street E14 5JP London</p>	1	2	3	4	5	6	7	1	2	3	4	5	6	7	
<p>■ J.P. Morgan Securities Plc Bank Street 25 E14 5JP London</p>	1	2	3	4	5	6	7	1	2	3	4	5	6	7	
<p>■ Jane Street Financial Ltd 2 & A Half</p>	2	3													

London EC4P 4WR

- **Merrill Lynch International** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Lerrill Lynch Financial Centre
King Edward Street 2
EC1A 1HQ London
- **MetLife Investment Management Ltd** 1 2 4 5 7 5
Level 34
Canada Square 1
E14 5AA London
- **Michael Davey Financial Management Ltd** 5
Salisbury Houses
Finsbury Circus
EC2M 5UL London
- **Mirabaud Securities Ltd** 1 2 7 3 5
Bressenden Place 10
SW1E 5DH London
- **Mitsubishi UFJ Asset Management (UK) Ltd** 1 2 4 5 4 5
Finsbury Circus House
Finsbury Circus 12-15
EC2M 7BT London
- **Mitsubishi UFJ Trust International Ltd** 1 2 3 5 6 7 1 6
Lombard Street 24
EC3V 9AJ London
- **Mitsui Bussan Commodities Ltd** 1 2 3 5 1 2 4 5
6th Floor
St Martin's-le-Grand 1
EC1 4BB London
- **Mizuho International Plc** 1 2 3 4 5 6 7 1 2 3 4 6
Old Bailey 30
EC4M 7AU London
- **Montfort International Limited** 1 2 3 4 5 6 7 3 4 5 6 7
Shere Road
GU59BL Albury, Guildford
- **Monument Group Europe LLP** 1 3
4th Floor
Savile Row 7
W1S 3PE London
- **Morgan Stanley & Co. International Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Cabot Square 25
E14 4QA London
- **Morgan Stanley Investment Management Ltd** 1 3 4 5 5
Cabot Square 25
E14 4QA Canary Wharf, London
- **MVision Private Equity Advisors Ltd** 1 5
Connaught House

Mount Street 1-3
W1K 3NB London

- **NATIONAL WESTMINSTER BANK Plc** 2 3 4
BISHOPSGATE 250
EC2M 4AA London
- **NatWest Markets Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
St Andrew Square 36
EH2 2YB Midlothian
- **Nomura International Plc** 1 2 3 4 5 6 7 1 2 3 4 5 6 7
Nomura House
Angel Lane 1
EC4R 3AB London
- **Numis Securities Ltd** 1 2 3 5 6 7 1 3 5 6
Paternoster Square 10
EC4M 7LT London
- **Oaktree Capital Management (UK) LLP** 1 2 4 5 4 5
Verde
Bressenden Place 10
SW1E 5DH London
- **Odey Asset Management LLP** 1 4 5
Upper Grosvenor Street 12
W1K 2ND London
- **Odey Wealth Management (UK) Ltd** 1 2
Upper Grosvenor Street 12
W1K 2ND London
- **OMERS Infrastructure Europe Ltd** 1 5 5
The Leadenhall Building
Leadenhall Street 122
EC3V 4AB London
- **OMERS Private Equity Europe Ltd** 1 5 3
The Leadenhall Building
Leadenhall Street 122
EC3V 4AB London
- **Onyx Capital Advisory Ltd** 5
Wilton Road 25
SW1V 1LW London
- **Oppenheimer Europe Ltd** 1 2 3 5 7 3 5
Gracechurch Street 6
EC3V 0AT London
- **Outfront Ninety One UK Limited** 1 2 4 5 7
Gresham Street 55
EC2V 7EL London
- **Oval Money Ltd** 1
Flat G
Philbeach Gardens 88
SW5 9EU London

<p>■ Partners Group (UK) Management Ltd Heron Tower Bishopsgate 110 EC2N 4AY London</p>	1									7		
<p>■ Payden & Rygel Global Limited Bartholomew Lane 1 EC2N 2AX London</p>	1	2		4	5					5		
<p>■ Peel Hunt LLP Moor House London Wall 120 EC2Y 5ET London</p>	1	2	3		5	6	7	1	3	4	5	6
<p>■ Pemberton Capital Advisors LLP Terminal House Grosvenor Gardens 52 SW1W 0AU London</p>	1				5						5	
<p>■ Perella Weinberg UK Ltd Grafton Street 20 W1S 4DZ London</p>	1				5		7		3		5	
<p>■ Permira Debt Managers Ltd Pall Mall 80 SW1Y 5ES London</p>	1				5							
<p>■ PGIM Ltd Grand Buildings, Trafalgar Square Strand 1-3 WC2N 5HR London</p>	1	2		4	5			1	3	4	5	7
<p>■ Phoenix Asset Management Partners Limited Glentham Road SW13 9JJ London</p>				4	5							
<p>■ Pictet Global Markets (UK) Limited Stratton House, 6th Floor Stratton Street 5 W1J 8LA London</p>	1	2	3	4	5					4	5	
<p>■ Pimco Europe Ltd. Baker Street 11 W1U 3AH London</p>	1	2		4	5					5		
<p>■ PJT Partners (UK) Ltd Fifth floor One Curzon Street W1J 5HD London</p>	1				5				3		5	
<p>■ PRICOA Capital Group Ltd London Bridge 1 SE1 9BG London</p>	1				5				3	4	5	6
<p>■ Principal Global Investors (Europe) Ltd Wood Street 1 EC2V 7JB London</p>	1	2		4	5					5		
<p>■ Prosperity Capital Management (UK) Ltd</p>	1				5					5		

2nd floor Cavendish Square 6 W1G 0PD London									
■ Putnam Investments Ltd St. James's Street 16 SW1A 1ER London	1		4	5			4	5	7
■ PVM Oil Futures Ltd Verde Building, 3rd Floor Bressenden Place 10 SW1E 5DH London	1	2		5					5
■ Quantessence Financial Ltd Cannon Street 33 EC4M 5SB London	1			5					
■ Quest Fund Placement Ltd Egyptian House , 4th floor Piccadilly 170 W1J 9EJ London	1			5					
■ R.J. O'Brien Ltd Cheapside 100 EC2V 6DT London	1	2	3				1	2	4 5
■ Raymond James Financial International Limited Broadwalk House Appold Street 5 EC2A 2AG London	1	2	3	5	7		3		5
■ RBC Europe Ltd Bishopsgate 100 EC2N 4AA London	1	2	3	4	6	7	1	2	3 4 5 6 7
■ RBC Global Asset Management (UK) Limited Grosvenor Street 77 W1K 3JR London	1	2		4	5				4
■ Record Currency Management Ltd Morgan House Madeira Walk SL4 1EP Windsor	1	2		4	5				4 5
■ Rede Partners LLP 3rd floor Park Street 110 W1K 6NX London	1			5					
■ Refinitiv Transaction Services Ltd The Thomson Reuters Building South Colonnade 30 E14 EP London	1								8
■ Renaissance Capital Ltd 4th floor Bank Street 50	1	2	3	4	5	6	7	1	2 3 4 5 6

E14 5NT London							
■ RIA Capital Markets Limited		2	3				
George Street 91							
EH2 3ES Edinburgh							
■ Ruffer LLP	1	2	4	5	1	4	7
Victoria Street 80							
SW1E 5JL London							
■ Russell Investments Implementation Services Ltd	1	2	4	5		4	
Rex House							
Regent Street 10							
SW1Y 4PE London							
■ Russell Investments Ltd	1	2	4	5		4	
Rex House							
Regent Street 10							
SW1Y 4PE London							
■ RWC Asset Management LLP	1		4	5			
Verde							
Bressenden Place 10							
SW1E 5DH London							
■ RWC Partners Ltd				5			
Bressenden Place 10							
SW1E 5DH London							
■ Sanford C. Bernstein Ltd	1	2	3	5	6	7	4
Berkeley Street 50							5
W1J 8SB London							6
■ Santander UK Plc				3			
Regent's Place							
Triton Square 2							
NW1 3AN London							
■ Saranac Partners Ltd	1	2	4	5	7	3	4
St. James's Street 16						5	7
SW1A 1ER London							
■ Schroder Investment Management Ltd.	1	2	4	5		5	
London Wall Place 1							
EC2Y 5AU London							
■ Scotiabank Europe plc	1	2	3	6	7	3	4
6th Floor						5	6
Bishopsgate 201							
EC2M 3NS London							
■ SECOR Investment Advisors (UK) LLP				5			
1st Floor							
Sun Street 37							
EC2M 2PL London							
■ Seilern Investment Management Ltd	1	3	4	5		5	
Portland Place 43							
W1B 1QH London							

<ul style="list-style-type: none"> ■ SMBC Nikko Capital Markets Ltd Queen Victoria Street 99 EC4V 4EH London 	1 2 3	5 6 7	4 5 6 7
<ul style="list-style-type: none"> ■ Societe Generale International Ltd Bishops Square 10 London 	1 2 3	5 6 7	1 2 4 5 6 7
<ul style="list-style-type: none"> ■ Spayne Lindsay & Co. LLP Strand 55 WC2N 5LR London 		5	
<ul style="list-style-type: none"> ■ Square Capital LLP Savile Row 9-10 W1S 3PF London 	1 2 3 4 5		
<ul style="list-style-type: none"> ■ St. James's Place Wealth Management Plc St. James's Place House Tetbury Road 1 GL7 1FP Cirencester 		5	
<ul style="list-style-type: none"> ■ Stamford Associates Limited Rotherwick House Old Bond Street 19-21 W1S4PX London 		5	5
<ul style="list-style-type: none"> ■ Stamford Partners Ltd 7 Clifford Street W1S 2WE London 		5	3 5 7
<ul style="list-style-type: none"> ■ Standard Chartered Bank Basinghall Avenue 1 EC2V 5DD London 	1 2 3 4 5 6 7		1 2 3 4 5 6 7
<ul style="list-style-type: none"> ■ State Street Global Advisors Ltd Churchill Place 20 E14 5HJ London 	1	5	
<ul style="list-style-type: none"> ■ Stenham Asset Management (UK) Plc Great Portland Street 180 W1W 5QZ London 	1 2	4	5
<ul style="list-style-type: none"> ■ Stifel Nicolaus Europe Limited Cheapside 150 EC2V 6ET London 	1 2 3	6 7	3 5 6
<ul style="list-style-type: none"> ■ StoneX Financial Ltd Moor House, 1st Floor London Wall 120 EC2Y 5ET London 	1 2 3	5	2 4 5 7
<ul style="list-style-type: none"> ■ StormHarbour Securities LLP Old Burlington Street 10 W1S 3AG London 	1 2 3	5 7	3 5
<ul style="list-style-type: none"> ■ Sumitomo Mitsui Banking Corporation Europe Ltd Queen Victoria Street 99 EC4V 4EH London 	1 2 3	5 7	2 3 4 5 7

<ul style="list-style-type: none"> ■ Sunrise Brokers LLP Triton Street 20 NW1 3BF London 	1							
<ul style="list-style-type: none"> ■ Sussex Partners UK Ltd Savile Row 15 W1S 3PJ London 		5						
<ul style="list-style-type: none"> ■ Swiss Investment Corporation Ltd. Beaufort Court Admirals Way E14 9XL London 	1 2 3	5	7		3			
<ul style="list-style-type: none"> ■ Swiss Re Capital Markets Ltd St Mary Axe 30 EC3A 8EP London 	1 2 3		6 7		3 4	7		
<ul style="list-style-type: none"> ■ T. Rowe Price International Ltd Queen Victoria Street 60 EC4N 4TZ London 		4				5		
<ul style="list-style-type: none"> ■ TD Securities Ltd Threadneedle Street 60 EC2R 8AP London 	1 2 3		6 7		2	4 5 6 7		
<ul style="list-style-type: none"> ■ TFS Derivatives Limited Beaufort House St. Botolph Street 15 EC3A 7QX London 	1	3	5			5		
<ul style="list-style-type: none"> ■ TFS-Icap Ltd Beaufort House St. Botolph Street 15 EC3A 7QX London 	1		5			5		
<ul style="list-style-type: none"> ■ The Asset Management Exchange (UK) Ltd The Willis Building Lime Street 51 EC4M 7DQ London 	1 2		4 5					
<ul style="list-style-type: none"> ■ The Blackstone Group International Partners LLP Berkeley Square 40 W1J 5AL London 	1		5			5		
<ul style="list-style-type: none"> ■ The Link Asset and Securities Company Ltd Broadgate 2 EC2M 7UR London 	1 2 3							
<ul style="list-style-type: none"> ■ The Seaport Group Europe LLP 7th Floor Regent Street 5-11 SW1Y 4LR London 	1 2 3	5				5		
<ul style="list-style-type: none"> ■ THM Partners LLP Watling Street 25 EC4M 9BR London 	1	4 5				3		
<ul style="list-style-type: none"> ■ Tilney Asset Management Ltd 	1 2	4 5				5	7	

EC2M 3TQ London							
■ Twelve Capital (UK) Ltd	1	2	4	5		3	4 5
Moss House							
Brooks Mews 15-16							
W1K 4DS London							
■ UBS Asset Management (UK) Ltd	1	2	3	4	5	6	7
Broadgate 5							
EC2M 2QS London							
■ UK Bond Network Ltd	1	2	3		6	7	7
CAP House, 5th floor							
Long Lane 9-12							
EC1A 9HA London							
■ UTI International Ltd				4			
New Cavendish Street 120							
W1W 6XX London							
■ Valiance Advisors LLP	1			5			5
Hanover Square 13							
W1S 1HN London							
■ ValuAnalysis Ltd				5		3	5
St.James's Square 8							
SW1Y 4JU London							
■ Vantage Capital Markets LLP	1	2	3				7
Equitable House, 1st Floor							
King William Street 47							
EC4R 9AF London							
■ Veritas Asset Management LLP	1	2	4				4
Smart's Place 1							
WC2B 5LW London							
■ VTB Capital Plc	1	2	3		6	7	3 4 5 6 7
5th Floor							
Cornhill 14							
EC3V 3ND London							
■ Wellington Management International Ltd.	1	2	4	5			4 5
Cardinal Place							
Victoria Street 80							
SW1E 5JL London							
■ Wells Fargo Asset Management (International) Ltd	1	2	4	5	7		4 5
King William Street 33							
EC4R 9AT London							
■ Wells Fargo Securities International Ltd	1	2	3		5	6	7
King William Street 33							
EC4R 9AT London							
■ Western Asset Management Company Limited	1	2	4	5			5
Exchange Square 10							
EC2A2EN London							

<ul style="list-style-type: none"> ■ WHEB Asset Management LLP 	1	2	4	5				
Cavendish Square 7								
W1G 0PE London								
<ul style="list-style-type: none"> ■ White Oak Capital Management (UK) Ltd 	1							
Threadneedle Street 32								
EC2R 8AY London								
<ul style="list-style-type: none"> ■ Whitcroft Capital Management LLP 	1	2	4	5				
Eaton Gate 2								
SW1W 9BJ London								
<ul style="list-style-type: none"> ■ William Blair International 	1	2	4	5	3	5		
The Broadgate Tower, 17th floor								
Primrose Street 20								
EC2A 2EW London								
<ul style="list-style-type: none"> ■ Winterflood Securities Ltd 	1	2	3			5		
Cannon Bridge House								
Dowgate Hill 25								
EC4R 2GA London								
<ul style="list-style-type: none"> ■ XConnect Trading Ltd 	1	2		5				
6th Floor								
Basildon House 7								
EC2R 6AF London								
o <u>Singapour</u>								
<ul style="list-style-type: none"> ■ Lumen Capital Investors Pte Ltd 	1		4					
Singapore Land Tower								
Raffles Place 50								
048623 Singapore								
<ul style="list-style-type: none"> ■ Macquarie Capital Securities (Singapore) Ltd 	1	2	3	5	6	7	1	4
Millenia Tower 27-01								
1 Temasek Avenue								
039192 Singapore								
o <u>Suisse</u>								
<ul style="list-style-type: none"> ■ Aquila Florentina Asset Management AG 				4				
Bahnhofstrasse 43								
8001 Zürich								
<ul style="list-style-type: none"> ■ Bank Vontobel AG 	1	2	3	4	5	6	1	2
Gotthardstrasse 43								
8022 Zürich								
<ul style="list-style-type: none"> ■ Banque Pâris Bertrand SA 				4				
Rue du Rhône 30								
1204 Geneva								
<ul style="list-style-type: none"> ■ Baring Asset Management Switzerland Sarl 	1		5				5	
rue du Marché 28								
1204 Genève								

<p>■ Capital International SARL 3 Place des Bergues 1201 Genève</p>	4 5	5
<p>■ Capstone Advisors SA Rue Juste Olivier 9 1260 Nyon</p>	1 5	
<p>■ CITÉ GESTION SA Rue de la Cité 15-17 CH-1204 Genève</p>	1 2 3 4 5 6	5
<p>■ COGES Corratierie Gestion SA Rue du Rhône 65 1204 Genève</p>	1 2 4 5 6	5
<p>■ Credit Suisse (Switzerland) AG Paradeplatz 8 8001 Zürich</p>	1 2 3 4 5 6 7	
<p>■ Crédit Suisse AG P.O. Box 100 Paradeplatz 8 8001 Zürich</p>	1 2 3 4 5 6 7	1 2 3 4 5 6 7
<p>■ DWS CH AG Hardstrasse 201 8005 Zürich</p>	4 5	
<p>■ EBS Service Company Ltd. Lavaterstrasse 40 8002 Zurich</p>	1	
<p>■ Fisch Asset Management AG Bellerive 241 8008 Zürich</p>	1 4 5	5
<p>■ Gottex Brokers SA avenue de Rhodanie 48 1007 Lausanne</p>	1	
<p>■ IBKR Financial Services AG Gotthardstrasse 3 6300 Zug</p>	2 3	1 4
<p>■ Intermoney Financial Products SA Chemin de la Clergère 23 CH-1009 Pully</p>	3	
<p>■ LGT Capital Partners Ltd Schützenstrasse 6 8808 Pfäffikon</p>	4 5	
<p>■ Morgan Stanley AG Beethovenstrasse 33 CH-8002 Zurich</p>	4	
<p>■ Pictet Asset Management SA Route des Acacias 60 1211 Genève</p>	1 4 5	

