

**ANNEXE : information destinée à être publiée sur le site internet de la FSMA<sup>1</sup>**

Organisme de formation agréé		UCLouvain – Louvain School of Management – asbl LSM Executive Education
Sujet/titre de la formation		(Re)Inventing your Compliance Program
Groupe cible		Professionals involved with the development, management, implementation of compliance programs, policies or services e.g. general counsels, corporate counsels, compliance officers, lawyers, independent board members, auditors, finance managers, CSR professionals, regulators, civil servants involved in compliance.
Planning :	Date(s)	September 17th > September 18th 2021 October 15th > October 16th 2021 November 12th > November 13th 2021 December 10h > December 11th 2021 March 11th > March 12th 2022 May 20th > May 21st 2022
	Heure(s) de début et de fin	9 am till 4 pm
	Durée	6 hours per day
	Lieu(x)	Université Saint Louis, Boulevard du Jardin Botanique 43, 1000 Brussels
Nombre d'heures de formation éligibles dans le cadre de la formation permanente des compliance officers		72 hours

<sup>1</sup> Sauf s'il est répondu « non » à la question « La formation est-elle ouverte au public ? » : dans ce cas, cette formation apparaîtra parmi les formations disponibles sur le site internet de la FSMA, mais ce programme de formation ne sera pas mis en ligne.

La formation est-elle ouverte au public ? (oui/non)	Oui.
Objectif(s)	<p>Participants will be able to:</p> <p>Master the multidisciplinary components of a compliance and ethical strategy;</p> <p>Understand the key success factors in order to establish an ethic and compliance program; including the international aspects relevant for their company;</p> <p>Identify, measure and manage significant “ethical and compliance risks” that may affect business operations;</p> <p>Establish control and prevention mechanisms in order to mitigate ethical and compliance risks for business activities;</p> <p>Develop monitoring and audit mechanisms for the ethical and compliance program in order to ensure that the program remains fit for purpose and creates a learning loop for continuous improvement.</p>

Résumé du contenu	<p><b>Pillar 1 : Compliance Toolkit for Companies</b></p> <ul style="list-style-type: none"> <li>• Fundamentals of Business Ethics and Compliance management</li> <li>• Governance models, multidisciplinary approach</li> <li>• Risk management: mapping, review and reporting</li> <li>• Code of Conduct, Education, Speak Up</li> <li>• Embedding controls in business activities</li> <li>• Machine learning, artificial intelligence, emerging dilemmas</li> </ul> <p><b>Pillar 2 : Regulatory Compliance Themes</b></p> <ul style="list-style-type: none"> <li>• Accountability of the compliance</li> <li>• Anti-corruption</li> <li>• Money laundering</li> <li>• Data privacy</li> <li>• Embargo and export controls</li> <li>• Competition</li> </ul>
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	<p><b>Pillar 3 : Fostering a Sustainable Culture of Ethics and Compliance</b></p> <ul style="list-style-type: none"> <li>• Building an ethical culture : key components and tools</li> <li>• Managing interfaces with management, audit and other functions</li> <li>• Working and monitoring with business partners (joint ventures, supply chain,..)</li> </ul> <p><b>Pillar 4 : Economics and Ethics</b></p> <ul style="list-style-type: none"> <li>• Ethics in business, Integrated and extra-financial reporting, external assurance , stakeholder capitalism</li> <li>• Compliance in financial institutions</li> </ul> <p><b>Pillar 5 : Workshops to Review Compliance Programs</b></p> <ul style="list-style-type: none"> <li>• Team work to review and assess compliance programs of companies from various sectors</li> <li>• Dealing with multiple and sometimes conflicting decisions</li> <li>• Workshops with senior compliance practitioners to explore findings, discuss successes and failures</li> </ul> <p><b>Pillar 6 : Final Paper and Certification</b></p> <ul style="list-style-type: none"> <li>• Focus on a challenging business decision or the implementation of one of the frameworks learned in a concrete company case</li> <li>• 30-page paper and oral presentation in front of several experts</li> </ul>
Forme	<input checked="" type="checkbox"/> De type classique (avec présence physique) <input type="checkbox"/> Enseignement à distance
Matériel de cours	<input checked="" type="checkbox"/> Syllabus <input checked="" type="checkbox"/> Slides <input type="checkbox"/> Livres <input type="checkbox"/> Documents <input type="checkbox"/> Autres (préciser) :

<p>Contenu de la formation</p> <p><b><i>Merci d'identifier sous le point 3 de la communication FSMA_2018_05 du 8 mai 2018 le numéro du ou des thèmes qui sera (seront) abordé(s) lors de la formation, et de le(s) retranscrire dans l'espace prévu à cet effet, à la droite du présent tableau.</i></b></p> <p><b><i>Pour tout contenu de formation relatif au point C de la communication susmentionnée, une justification du thème et du lien et intérêt de ce dernier pour les compliance officers doit être fourni dans l'espace prévu à cet effet, à la droite du présent tableau.</i></b></p>	<p>Les modules de cette formation continue universitaire couvrent un grand nombre de thèmes de la communication FSMA_2018_05 du 8 mai 2018, par exemple sous le point A : 1, 6, 7,14, 15, 16, 18,19,20 ; B 1, 4,6,10,11,12,14,15, 16.</p> <p>Toutes les heures de ce programme sont spécifiques et dédiées à la formation continue de Compliance Officers .</p>
<p>Formateur(s)/orateur(s), ainsi que leur profession</p>	<p><b>Jan BOCKEN</b>, Attorney , Partner at Eubelius, Member of the management Committee</p> <p><b>Rodrigo CUNHA</b>, AB InBev, Global Director Digital Ethics at AB Inbev</p> <p><b>Yves DE RONGÉ</b>, UCLouvain, Professor</p> <p><b>Carlos DESMET</b>, UCLouvain, Visiting Professor Business Ethics and Compliance, previous Compliance Officer Shell International</p> <p><b>Michael FERNANDEZ BERTIER</b>, Attorney Brussels and New York bar, UCLouvain Lecturer White collar crime</p> <p><b>Alexandre GIRARD</b>, Université Saint Louis, Academic co-Director of the Program , Finance Professor</p> <p><b>Olivier GOFFARD</b>, Euroclear, Head Of Group Compliance &amp; Ethics</p> <p><b>Jean-Marc GOLLIER</b>, Attorney Eubelius and Visiting Professor RSE at UCLouvain</p> <p><b>Christophe GREVEN</b>, OTIS, VP, Legal Operations OTIS worldwide</p>

	<p><b>François KONING</b>, Attorney “droit pénal et contentieux” Janson Baugniet</p> <p><b>Philippe LAMBRECHT</b>, Visiting Professor at UCLouvain, Vice President CEPANI and Secretary General and Board Member of FEB/VBO</p> <p><b>Hélène LATZER</b>, Université Saint Louis, chargée de cours</p> <p><b>Hilde LUYSTERMANS</b>, Total Group, Vice President and Chairwoman of the Global Ethics Committee of Total</p> <p><b>Benoit OTJACQUES</b>, Head of Data Sciences &amp; Analytics unit Luxembourg Institute of Science and Technology</p> <p><b>Jacques SPELKENS</b>, CSR Advisor Engie Benelux, Visiting Professor CSR and Ethics at ICHEC</p> <p><b>Valérie SWAEN</b>, UCLouvain, Academic co-Director of the Program , President of the Lourim (Research Institute) Louvain School of Management and Professor IESEG (Business School France)</p> <p><b>Vincent VAN BUEREN</b>, Associate Gimv, Compliance &amp; ESG Manager for Gimv</p> <p><b>Anneleen VAN DE MEULEBROUCKE</b>, Attorney – Counsel at Eubelius, Data lawyer , DPO</p> <p><b>François VINCKE</b>, Attorney at Brussels Bar, Vice President at ICC Paris of the Anti-corruption committee,</p>
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