

**ANNEXE : information destinée à être publiée sur le site internet de la FSMA<sup>1</sup>**

Organisme de formation agréé		UCLouvain – Louvain School of Management – asbl LSM Executive Education
Sujet/titre de la formation		(Re)Inventing your Compliance Program
Groupe cible		Professionals involved with the development, management, implementation of compliance programs, policies or services e.g. general counsels, corporate counsels, compliance officers, lawyers, independent board members, auditors, finance managers, CSR professionals, regulators, civil servants involved in compliance.
Planning :	Date(s)	September 16th & 17th 2022 October 14th & 15th 2022 November 18th & 19th 2022 December 16th & 17th 2022 March 10th & 11th 2023 May 26th & 27th 2023
	Heure(s) de début et de fin	9 am till 4 pm
	Durée	6 hours per day
	Lieu(x)	Université Saint Louis, Boulevard du Jardin Botanique 43, 1000 Brussels
Nombre d'heures de formation éligibles dans le cadre de la formation permanente des compliance officers		84 hours

<sup>1</sup> Sauf s'il est répondu « non » à la question « La formation est-elle ouverte au public ? » : dans ce cas, cette formation apparaîtra parmi les formations disponibles sur le site internet de la FSMA, mais ce programme de formation ne sera pas mis en ligne.

La formation est-elle ouverte au public ? (oui/non)	Oui.
Objectif(s)	<p>Participants will be able to:</p> <p>Master the multidisciplinary components of a compliance and ethical strategy;</p> <p>Understand the key success factors in order to establish an ethic and compliance program; including the international aspects relevant for their company;</p> <p>Identify, measure and manage significant “ethical and compliance risks” that may affect business operations;</p> <p>Establish control and prevention mechanisms in order to mitigate ethical and compliance risks for business activities;</p> <p>Develop monitoring and audit mechanisms for the ethical and compliance program in order to ensure that the program remains fit for purpose and creates a learning loop for continuous improvement.</p>

Résumé du contenu	<p><b>Regulatory themes</b></p> <ul style="list-style-type: none"> <li>• Accountability of the compliance function</li> <li>• Anti-corruption</li> <li>• Money laundering</li> <li>• Data privacy</li> <li>• Embargo and export controls</li> <li>• Competition laws</li> </ul> <p><b>Managing compliance and ethics</b></p> <ul style="list-style-type: none"> <li>• Governance models</li> <li>• Risk management</li> <li>• Compliance toolkit</li> <li>• Embedding controls in business activities</li> <li>• Education and fostering a culture of ethics and compliance</li> <li>• Compliance in financial institutions</li> </ul> <p><b>Emerging issues and topics of the role of compliance officers</b></p>
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	<ul style="list-style-type: none"> <li>• Artificial Intelligence (ethics, laws and tools)</li> <li>• Human Rights due diligence</li> <li>• Environment and Climate change</li> <li>• Ethics in business</li> <li>• ESG reporting and integration with compliance management</li> <li>• Integrated and Extra financial reporting</li> <li>• Stakeholder capitalism</li> </ul> <p><b>Workshop and teamwork: ethical due diligence of a third party company</b></p> <ul style="list-style-type: none"> <li>• In-depth review of a compliance program</li> <li>• Assessment of gaps and opportunities</li> <li>• Real life interaction with a senior executive of the company to discuss findings</li> </ul>
Forme	<input checked="" type="checkbox"/> De type classique (avec présence physique) <input type="checkbox"/> Enseignement à distance
Matériel de cours	<input type="checkbox"/> Syllabus x Slides <input type="checkbox"/> Livres <input type="checkbox"/> Documents <input type="checkbox"/> Autres (préciser) :
Contenu de la formation	<p><i><b>Merci d'identifier sous le point 3 de la communication FSMA_2018_05 du 8 mai 2018 le numéro du ou des thèmes qui sera (seront) abordé(s) lors de la formation, et de le(s) retranscrire dans l'espace prévu à cet effet, à la droite du présent tableau.</b></i></p> <p><i><b>Pour tout contenu de formation relatif au point C de la communication susmentionnée, une justification du thème et du lien et intérêt de ce dernier pour les compliance officers doit être fourni dans</b></i></p> <p>Les modules de cette formation continue universitaire couvrent un grand nombre de thèmes de la communication FSMA_2018_05 du 8 mai 2018, par exemple sous le point A : 1, 6, 7,14, 15, 16, 18,19,20 ; B 1, 4,6,10,11,12,14,15, 16.</p> <p>Toutes les heures de ce programme sont spécifiques et dédiées à la formation continue de Compliance Officers .</p>

<b><i>l'espace prévu à cet effet, à la droite du présent tableau.</i></b>	
Formateur(s)/orateur(s), ainsi que leur profession	<p><b>Charlotte BANDE</b>, Quantis, Global Food &amp; Bev Sector Lead</p> <p><b>Jan BOCKEN</b>, Attorney, Partner at Eubelius, Member of the management Committee</p> <p><b>Rodrigo CUNHA</b>, AB InBev, Global Director Digital Ethics at AB Inbev</p> <p><b>Yves DE RONGÉ</b>, UCLouvain, Professor</p> <p><b>Carlos DESMET</b>, UCLouvain, Visiting Professor Business Ethics and Compliance, previous Compliance Officer Shell International</p> <p><b>Michael FERNANDEZ BERTIER</b>, Attorney Brussels and New York bar, UCLouvain Lecturer White collar crime</p> <p><b>Alexandre GIRARD</b>, Université Saint Louis, Academic co-Director of the Program , Finance Professor</p> <p><b>Olivier GOFFARD</b>, Euroclear, Head Of Group Compliance &amp; Ethics</p> <p><b>Jean-Marc GOLLIER</b>, Attorney Eubelius and Visiting Professor RSE at UCLouvain</p> <p><b>Christophe GREVEN</b>, OTIS, VP, Legal Operations OTIS worldwide</p> <p><b>Fatma KESKIN</b>, Delhaize, VP Legal, Ethics, Compliance, Quality &amp; Asset Protection Delhaize Belux</p> <p><b>François KONING</b>, Attorney “droit pénal et contentieux” Janson Baugniet</p> <p><b>Eva LAKOVA</b>, Deloitte, Senior Manager Export controls and Sanctions- Global Trade Advisory</p> <p><b>Philippe LAMBRECHT</b>, Visiting Professor at UCLouvain, Vice President CEPANI and Secretary General and Board Member of FEB/VBO</p>

	<p><b>Hélène LATZER</b>, Université Saint Louis, chargée de cours</p> <p><b>Benoit OTJACQUES</b>, Head of Data Sciences &amp; Analytics unit Luxembourg Institute of Science and Technology</p> <p><b>Alain STRAUWEL</b>, UCLouvain, Professor</p> <p><b>Valérie SWAEN</b>, UCLouvain, Academic co-Director of the Program , President of the Lourim (Research Institute) Louvain School of Management and Professor IESEG (Business School France)</p> <p><b>Vincent VAN BUEREN</b>, Associate Gimv, Compliance &amp; ESG Manager for Gimv</p> <p><b>Anneleen VAN DE MEULEBROUCKE</b>, Attorney – Counsel at Eubelius, Data lawyer , DPO</p>
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