

Banking service providers subject to the banker's oath as from 15 July 2026

The table below indicates the identity of the person before whom banking service providers (BSPs) will take the banker's oath, depending on the position held.

Category of banking service provider	Person before whom the oath is taken			
	FSMA Chairman ¹	Senior manager CI	Senior manager CI's branch	Senior manager BA
Category 1 (fit & proper, F&P) active at banking and investment services agents (BA)				
F&P ² active at a BA acting for a Belgian credit institution (CI)	✓ <i>If entry into position as from 15 July 2026</i>	✓ <i>If entry into position before 15 July 2026</i>		
F&P ² active at a (tied) BA acting for an EEA CI with branch	✓ <i>If entry into position as from 15 July 2026</i>		✓ <i>If entry into position before 15 July 2026</i>	
F&P ² active at a tied BA acting for an EEA CI without branch	✓ <i>If entry into position as from 15 July 2026</i>	✓ <i>If entry into position before 15 July 2026</i>		
F&P ² active at a BA acting for a branch of a third country CI	✓ <i>If entry into position as from 15 July 2026</i>		✓ <i>If entry into position before 15 July 2026</i>	
Category 2 (managers responsible) active at BA				
Manager responsible active at a BA acting for a Belgian CI				✓
Manager responsible active at a (tied) BA acting for an EEA CI with branch				✓

¹ Or, in his absence, the Deputy Chairman of the FSMA or two members of its management committee, in accordance with the legal rules of representation of the FSMA.

² Senior managers.



Category of banking service provider	Person before whom the oath is taken			
	FSMA Chairman ¹	Senior manager CI	Senior manager CI's branch	Senior manager BA
Manager responsible active at a tied BA acting for an EEA CI without branch				✓
Manager responsible active at a BA acting for a branch of a third country CI				✓
Category 3 (BA natural persons)				
BA natural person acting for a Belgian CI	✓ <i>If entry into position as from 15 July 2026</i>	✓ <i>If entry into position before 15 July 2026</i>		
(Tied) BA natural person acting for an EEA CI with branch	✓ <i>If entry into position as from 15 July 2026</i>		✓ <i>If entry into position before 15 July 2026</i>	
Tied BA natural person acting for an EEA CI without branch	✓ <i>If entry into position as from 15 July 2026</i>	✓ <i>If entry into position before 15 July 2026</i>		
BA natural person acting for a branch of a third country CI	✓ <i>If entry into position as from 15 July 2026</i>		✓ <i>If entry into position before 15 July 2026</i>	
Category 4 (persons participating directly in the exercise of banking activities, or in the provision of banking services) active at CI and BA				
Cat. 4 active at a Belgian CI		✓		
Cat. 4 F&P ³ active at a branch of an EEA CI	✓			

³ The senior managers and the persons responsible for the key functions at branches of EEA CIs are F&P persons under the law of the home Member State of the CI concerned (home country control principle). They do not fall within Category 1, which applies only to F&P persons under Belgian law, i.e. F&P persons at Belgian CIs and F&P persons at Belgian branches of third country CIs.



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Category of banking service provider	Person before whom the oath is taken			
	FSMA Chairman ¹	Senior manager CI	Senior manager CI's branch	Senior manager BA
Cat. 4 non-F&P active at a branch of an EEA CI			✓	
Cat. 4 active at a branch of a third country CI			✓	
Cat. 4 active at a BA acting for a Belgian CI				✓
Cat. 4 active at a (tied) BA acting for an EEA CI with branch				✓
Cat. 4 active at a tied BA acting for an EEA CI without branch				✓
Cat. 4 active at a BA acting for a branch of a third country CI				✓

However, some of these F&P persons at Belgian branches of EEA CIs are persons participating directly in the exercise of banking activities, or in the provision of banking services (Category 4). To ensure equal treatment between them and F&P persons under Belgian law, they too are required to take the oath at the FSMA.