

2/ Op de website van de FSMA te publiceren informatie

Erkende opleidingsinstelling	BNP Paribas Fortis
Onderwerp/titel van de opleiding	Co4Co "Market Abuse"
Publiek/doelgroep	Compliance Officer en medewerkers van de Compliance Officer BNPPF
Planning:	
Datum(s)	17 september 2019
Begin- en eind(u)r(en)	09.00 tot 12.00
Duur	3 uur
Plaats(en)	20
Aantal opleidingsuren (-punten) die in aanmerking komen in het kader van de permanente opleiding van de compliance-officers	3 uur/3 punten
Doelstelling(en)	<p>After the training the participant will:</p> <ul style="list-style-type: none"> <li>▪ understand the basic principles of market abuse, taking into account new MAR/CSMAD requirements</li> <li>▪ be, in his BAU, alert and will be able to immediately detect when market abuse rules come into scope</li> </ul>
Samenvatting van de inhoud	<p>Classical training with theory and practical examples focusing on:</p> <p><b>I. Definition of market abuse</b></p> <p><b>II. Why MAR/CSMAD?</b></p> <p><b>III. Scope of MAR</b></p> <ul style="list-style-type: none"> <li>- Which financial instruments/venues are in scope of MAR?</li> <li>- Orders and transactions</li> </ul>

	<p><b>IV. Regulatory framework</b></p> <p><b>V. Exemptions of MAR</b></p> <ul style="list-style-type: none"><li>- Buy-back programmes/stabilisation</li><li>- Monetary/public debt management activities</li><li>- Climate policy activities</li></ul> <p><b>VI. Inside information</b></p> <ul style="list-style-type: none"><li>- What is inside information? As of when does information become 'inside information'?</li></ul> <p><b>VII. Insider dealing</b></p> <ul style="list-style-type: none"><li>- What is insider dealing?</li><li>- Case studies</li></ul> <p><b>VIII. Unlawful disclosure of inside information</b></p> <ul style="list-style-type: none"><li>- What is unlawful disclosure of inside information?</li><li>- Case studies</li></ul> <p><b>IX. Market soundings</b></p> <ul style="list-style-type: none"><li>- What are market soundings?</li><li>- Regulatory requirements<ul style="list-style-type: none"><li>- Obligations of the disclosing market participant prior, during and after the market sounding</li><li>- Obligations of the market sounding recipients</li></ul></li></ul> <p><b>X. Market manipulation</b></p> <ul style="list-style-type: none"><li>- What is market manipulation?</li><li>- Accepted market practices</li><li>- Market manipulation Signals (“red lights”)</li><li>- Case studies</li></ul> <p><b>XI. Prevention and detection of market abuse</b></p> <ul style="list-style-type: none"><li>- Regulatory requirements</li><li>- Preventing measures at BNPPF</li><li>- Detection at BNPPF</li><li>- Notification duty of suspicious transactions/orders (internally/FSMA)</li><li>- Detection in practice at BNPPF</li><li>- Case studies</li></ul> <p><b>XII. Public disclosure of inside information</b></p> <ul style="list-style-type: none"><li>- Regulatory requirements</li></ul> <p><b>XIII. Insider lists</b></p> <ul style="list-style-type: none"><li>- Regulatory requirements</li></ul> <p><b>XIV. Transactions by PDMR or closely associated persons</b></p> <ul style="list-style-type: none"><li>- Who is in scope?</li></ul>
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	<ul style="list-style-type: none"> <li>- Regulatory requirements</li> <li><b>XV. Investment recommendations</b></li> <li>- What are Investment recommendations?</li> <li>- Regulatory requirements</li> <li><b>XVI. Risk and control framework at BNPPF</b></li> <li><b>XVII. Sanctions on market abuse</b></li> <li>- Administrative sanctions</li> <li>- Criminal sanctions</li> <li>- Case studies</li> </ul>
Vorm	<input checked="" type="checkbox"/> Klassieke opleiding (met fysieke aanwezigheid) <input type="checkbox"/> Opleiding op afstand
Lesmateriaal	<input type="checkbox"/> Syllabussen <input checked="" type="checkbox"/> Slides <input type="checkbox"/> Boeken <input type="checkbox"/> Documenten <input type="checkbox"/> Andere (te verduidelijken):
Inhoud van de opleiding	<p><i>Gelieve, in punt 3 van mededeling FSMA_2018_05 van 8 mei 2018, het nummer van het(de) thema('s) te identificeren dat(die) tijdens de opleiding aan bod zal(zullen) komen, en het(ze) te vermelden in de daartoe voorziene ruimte aan de rechterkant van deze tabel.</i></p> <p><i>Voor elke opleidingsinhoud met betrekking tot punt C van voornoemde mededeling, dienen het thema alsook het verband met en het belang voor de complianceofficers te worden vermeld in de daartoe voorziene ruimte aan de rechterkant van deze tabel.</i></p> <p style="text-align: center;">2/18/21</p>