



## **Provisions aiming to protect the general good that may apply to the branches registered in Belgium of management companies of undertakings for collective investment governed by the law of another Member State of the European Economic Area**

In addition to the provisions of the law of 20 July 2004 on certain forms of collective management of investment portfolios, attention is drawn to the legal and regulatory provisions with an economic and financial slant listed below that might relate to the activities of branches registered in Belgium of management companies of undertakings for collective investment governed by the law of another Member State of the European Economic Area.

This list is not exhaustive and does not detract from the obligation to comply with the provisions protecting the general good that are not mentioned here, especially the civil law, commercial law, penal law and fiscal law provisions, when carrying out the activities in Belgium.

- Royal Decree 22 of 24 October 1934 prohibiting persons convicted of certain offences and bankrupts from carrying out certain functions, professions or activities, and conferring power on the commercial courts to impose such prohibitions;
- Royal Decree 71 of 30 November 1939 on the peddling of securities and door-to-door sales of securities, merchandise and goods, and its implementing decrees;
- Law of 2 January 1991 on the national debt securities market and the monetary policy instruments, and its implementing decrees;
- Law of 22 July 1991 on the commercial papers and certificates of deposit, and its implementing decrees;
- Law of 11 January 1993 on preventing use of the financial system for purposes of money laundering and terrorist financing, and its implementing decrees;
- Articles 5, 6 and 104 of the Law of 22 March 1993 on the legal status and supervision of credit institutions;
- Articles 55 and 148, § 4, 2° of the Law of 6 April 1995 on the legal status and supervision of investment firms;
- Law of 13 April 1995 on the trade agency agreement, and its implementing decrees;
- Law of 2 August 2002 on the supervision of the financial sector and on financial services, and its implementing decrees;
- Law of 14 December 2005 abolishing bearer securities, and its implementing decrees;

- Law of 16 June 2006 on public offers of investment instruments and admission of investment instruments to trading on regulated markets, and its implementing decrees;
- Law of 1 April 2007 on takeover bids and its implementing decrees;
- Law of 2 May 2007 on disclosure of major holdings in issuers whose shares are admitted to trading on a regulated market and laying down miscellaneous provisions, and its implementing decrees ;
- Law of 6 April 2010 on market practices and consumer protection.

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